

1 Title: Metformin and risk of Alzheimer's disease among community-dwelling people  
2 with diabetes: a national case-control study

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29 Short Title: Metformin use and risk of Alzheimer's disease

30 Keywords: Alzheimer's disease, Biguanide, Dementia, Diabetes, Finland, Metformin

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#### 41 **Disclosure statement**

42 Financial support: JKS was supported by Australia's National Health and Medical  
43 Research Council (NHMRC) Cognitive Decline Partnership Centre and an NHMRC  
44 Early Career Fellowship. JSB was supported by an NHMRC Boosting Dementia  
45 Research Leadership Scheme Fellowship.

46 Declaration of interests: HT, JT and AT have participated in research projects funded  
47 by Janssen and Eli Lilly with grants paid to the institution where they were employed.

48 JT has received personal fees from the Finnish Medicines Agency (Fimea),  
49 European Medicines Agency (EMA), Eli Lilly, Janssen-Cilag, Lundbeck, and Otsuka;

50 and has received grants from the Stanley Foundation and Sigrid Jusélius  
51 Foundation. SH has received fees from Swedish Research Council. Other authors  
52 declare no conflicts of interest.

53

54 **Abstract**

55 *Context*

56 Type 2 diabetes has been linked with an increased risk of Alzheimer's disease (AD).  
57 Studies on the association between metformin use and AD have reported conflicting  
58 results.

59 *Objective*

60 To investigate whether metformin use modifies the association between diabetes  
61 and incident, clinically verified AD.

62 *Design*

63 Nested case-control study.

64 *Setting*

65 All community dwelling people in Finland.

66 *Participants*

67 Cases were all community-dwelling Finns with AD diagnosed between 2005-2011  
68 and with diabetes diagnosed  $\geq 3$  years before AD (n=9862). Cases were matched  
69 with up to 2 control persons by age, sex and diabetes duration (n=19550).

70 *Main outcome measure*

71 Cumulative metformin exposure was determined from reimbursed dispensings over  
72 a 10-16 year period. Adjusted odds ratios (aORs) were calculated using conditional

73 logistic regression to estimate associations, with adjustment for potential  
74 confounders.

### 75 *Results*

76 7225 (73.3%) cases and 14528 (74.3%) controls received metformin at least once.  
77 Metformin use (ever use) was not associated with incident AD (aOR 0.99, 95% CI  
78 0.94-1.05). The adjusted odds of AD were lower among people dispensed metformin  
79 for  $\geq 10$  years (aOR 0.85, 95% CI 0.76-0.95), those dispensed cumulative defined  
80 daily doses (DDDs) of <1825-3650 (aOR 0.91, 95% CI 0.84-0.98) and >3650 DDDs  
81 (aOR 0.77, 95% CI 0.67-0.88), and among persons dispensed an average of 2g  
82 metformin daily (aOR 0.89, 95% CI 0.82-0.96).

### 83 *Conclusion*

84 In this large national sample we found no evidence that metformin use increases the  
85 risk of AD. Conversely, long-term and high-dose metformin use was associated with  
86 a lower risk of incident AD in older people with diabetes.

87

88

### 89 **Précis** (max 200 characters)

90 This national study showed no increased risk of AD in people with diabetes treated  
91 with metformin, and allays concerns arising from previous studies regarding this  
92 widely prescribed medication.

93

94 **Introduction**

95 There are 44 million people living with dementia worldwide and dementia is the  
96 second leading cause of death in people aged 70 years and over (1). Alzheimer's  
97 disease (AD) results in considerable individual, carer and societal burden (2). Type 2  
98 diabetes has been linked to the development of AD in experimental, clinical and  
99 epidemiological studies (3, 4). A systematic review of 20 observational cohort studies  
100 demonstrated the risk of AD was 56% greater in people with type 2 diabetes than  
101 individuals without diabetes (4). Hypothesized mechanisms for this association  
102 include brain insulin resistance and impaired insulin signaling, hyperglycemia,  
103 hypoglycemic episodes, inflammation, vascular changes, and impaired amyloid  
104 metabolism (5, 6). An estimated 826,000 AD cases worldwide are directly  
105 attributable to type 2 diabetes and a 10% reduction in the incidence of diabetes  
106 could potentially prevent 81,000 people developing AD (7). The number of people  
107 with type 2 diabetes who develop AD will likely grow as prevalence of diabetes  
108 continues to increase, particularly in low-and middle-income countries (8). Research  
109 is needed into factors that modify or ameliorate the association between type 2  
110 diabetes and AD risk.

111 Most clinical guidelines recommend metformin as the first line medication for type 2  
112 diabetes because it is low cost, generally well tolerated and not associated with  
113 weight gain. Metformin is the most prevalent commonly prescribed glucose lowering  
114 medication in North America, the United Kingdom and Australia (9, 10, 11).

115 Metformin is a biguanide that reduces gluconeogenesis in the liver and improves  
116 insulin resistance resulting in lower plasma glucose levels (12). Metformin likely  
117 crosses the blood brain barrier and has been implicated in neuropathological  
118 changes suggestive of improved cognitive function in some, but not all, preclinical

119 studies (13). Altered gut microbiota composition, which may play a role in AD  
120 pathogenesis, has been observed among metformin users (14, 15).

121 Recent meta-analyses investigating the relationship between metformin use and  
122 dementia reported conflicting results (13, 16, 17). None of the meta-analyses  
123 undertook subgroup analyses for people with AD. Three previous longitudinal studies  
124 have investigated associations between metformin use and AD (18, 19, 20) and two  
125 of these studies (18, 19) linked metformin use with an increased risk of AD.

126 However, methodological limitations with existing studies have included use of non-  
127 population based samples, comparison groups which may not reflect real world  
128 treatment practices, inadequate adjustment for the duration of diabetes or prior  
129 medication use, and limited exploration of dose-response relationships, may have  
130 influenced study findings. Furthermore, in several studies the primary outcome of  
131 dementia diagnosis was not verified by neurologists or geriatricians using objective  
132 clinical criteria and not all studies accounted for the latency period for AD.

133 Comprehensive data are therefore needed to explore the possible impact of  
134 metformin use on the development of AD. The objective of this study was to  
135 investigate whether metformin use modifies the association between diabetes and  
136 incident, clinically diagnosed AD.

137

## 138 **Materials and Methods**

### 139 *Study design and data source*

140 A nested case-control study was undertaken within the national Medication Use and  
141 Alzheimer's disease (MEDALZ) study (21). The MEDALZ study includes linked register

142 data for all Finns diagnosed with AD between January 2005 and December 2011 who  
143 were community dwelling at diagnosis (n=70,718) and up to four comparison persons  
144 without AD (n=282,862) matched by age, sex and region of residence. People with AD  
145 were identified using the Special Reimbursement Register, which includes details of all  
146 persons with AD in Finland who are eligible for reimbursement for anti-dementia  
147 medications. Finnish guidelines recommend anti-dementia medications are prescribed  
148 to all people diagnosed with AD unless contraindicated (22). All submissions for  
149 special reimbursement are reviewed to ensure the diagnosis of AD is consistent with  
150 predefined diagnostic criteria derived from the NINCDS-ADRDA and the DSM-IV (21).  
151 Written confirmation of the AD diagnosis from a geriatrician or neurologist must also  
152 be provided. Data available for MEDALZ participants include all subsidized medication  
153 purchases obtained from the national Prescription Register (1995–2012), clinically  
154 verified chronic diseases from the Special Reimbursement Register (1972–2012),  
155 hospitalizations listed in the Hospital Discharge register (1972–2012) and  
156 socioeconomic and mortality data from Statistics Finland (2005–2012).

157

### 158 *Identification of cases*

159 Cases were MEDALZ participants who had been diagnosed with diabetes at least  
160 three years before a clinically verified diagnosis of AD. The three-year lag period was  
161 applied to avoid protopathic bias as the oncoming diagnostic process of AD increases  
162 the incidence of comorbid diagnoses and impacts medication use (23). Persons with  
163 entitlement to higher reimbursement of diabetes medication granted by the Special  
164 Reimbursement Register and/or purchases of diabetes medication (defined using the  
165 World Health Organization Anatomical Therapeutic Chemical (ATC) classification code



166 (24) A10, excluding guar gum (A10BX01)) were considered to have diabetes. Diabetes  
167 diagnosis date was defined either as the date of entitlement for reimbursement or first  
168 purchase of diabetes medication, whichever occurred first.

169

#### 170 *Identification of controls*

171 At the date of AD diagnosis (index date), each case was matched with up to two  
172 community-dwelling persons with diabetes identified from the MEDALZ study. Controls  
173 were matched by age ( $\pm 1$  year), sex and diabetes duration ( $\pm 1$  year). Controls could  
174 not have received a diagnosis of AD or reimbursement for a dementia medication for  
175 at least three years after the index date. We excluded 184 persons diagnosed with AD  
176 for whom no controls were identified.

177

#### 178 *Exposure(s) of interest*

179 Metformin use from 1995 was determined from the national Prescription Register.  
180 Metformin use was determined using ATC codes A10BA02, A10BD02, A10BD03,  
181 A10BD05, A10BD07, A10BD08 and A10BD10 (24), and categorized as no use, use  
182 only during the three-year lag period or any use prior to the lag period. We considered  
183 cases and controls who received metformin only during the lag period in a separate  
184 category because they did not have sufficient duration of use prior to the index date  
185 but were not 'never users' to reduce risk of protopathic bias as described above.

186 Among those who received metformin between 1995 and the lag date (ever users), we  
187 also determined i) cumulative duration of use, ii) the cumulative number of metformin

188 defined daily doses (DDDs) received during the observation period and iii) the  
189 cumulative number of metformin DDDs divided by the cumulative duration of  
190 metformin use in days to assess dose-response relationships. We applied the  
191 PRE2DUP drug use model to the national Prescription Register to construct metformin  
192 exposure time periods (25). Agreement between PRE2DUP modelled use and oral  
193 diabetes medication use reported in a patient interview was very good (kappa 0.97,  
194 95% CI 0.93-1.00) (26). Cumulative duration of use was derived by summing-up  
195 durations of all metformin use periods for each person and categorized as use prior to  
196 the lag period of <1, 1 to <5, 5 to <10 or  $\geq$ 10 cumulative years; cumulative dose  
197 received was categorized as >0-365, >365-1825, >1825-3650 and >3650 DDDs; and  
198 cumulative DDDs divided by cumulative duration of use was categorized as >0-0.5,  
199 >0.5-1.0 and >1.0 DDDs/day. The DDD for metformin is 2g (24).

200

### 201 *Potential confounders*

202 Region of residence at the index date was determined using information from the  
203 Social Insurance Institute of Finland. Occupational social class was determined using  
204 information from Statistics Finland (21). History of renal disease, cardiovascular  
205 disease and psychiatric disorders were identified from the Finnish Special  
206 Reimbursement Register and the Hospital Discharge Register (27). Prescription  
207 Register data were screened from 1995 to identify antihypertensives or HMG Co-A  
208 reductase inhibitors (statins). Psychiatric disorders were assessed using register data  
209 from 1972 up until five years prior to the index date as increased point estimates for  
210 associations between psychiatric disorders and diagnosis of AD have been observed

211 with a lag period less than five years (23). All other covariates were determined using  
212 data recorded until the start of the three-year lag period.

213 Details of all reimbursed diabetes medications (excluding metformin) between 1995  
214 and the index date were extracted using the ATC codes outlined in our online  
215 supplementary material (27). The PRE2DUP method was applied to construct  
216 separate variables for use of sulfonylureas, insulin and other diabetes medications.  
217 Sulfonylureas and insulin were reimbursed throughout the study period.

218

#### 219 *Statistical analyses*

220 Analyses were undertaken using SAS v9.4 (SAS Institute, Cary, NC, USA). Chi square  
221 tests were used to compare categorical variables. Wilcoxon rank sum tests were used  
222 to compare continuous variables with skewed distributions. Conditional logistic  
223 regression models were used to estimate unadjusted and adjusted odds ratios (aORs)  
224 and 95% CIs for associations between metformin and incident AD, adjusting for  
225 potential confounders described above. In each adjusted model, the same method to  
226 categorize metformin exposure was applied to adjust for use of sulfonylurea, insulin  
227 and other diabetes medications. Correlations between medication exposure and  
228 potential confounders were assessed with Spearman's correlation, which showed no  
229 evidence of collinearity.

230 Because the lookback period for ascertaining medication use among people  
231 diagnosed with AD in 2011 was longer than for people diagnosed in 2005, we  
232 undertook sensitivity analyses in which the lookback period commenced 10 years prior  
233 to the index date. The three-year lag period was also maintained, meaning medication

234 exposure was assessed over a seven-year window for all participants. We conducted  
235 additional sensitivity analyses in which all models were stratified by age at the index  
236 date (categorized as <75, 75 to <85, >85 years), age at diabetes diagnosis (<60, 60 to  
237 <80, >80 years) and duration of diabetes at the index date (<5, 5 to <10, >10 years).

238

### 239 *Ethical considerations*

240 Formal ethical approval was not required in Finland in accordance with Finnish  
241 legislation because study participants were not contacted and pseudonymized data  
242 were supplied for analysis. The study was registered with the Monash University  
243 Human Research Ethics Committee.

244

### 245 **Results**

246 Overall, 9862 people with AD and 19550 matched controls were included, with a  
247 median age of 81 years and median diabetes duration of 10 years (Table 1). Cases  
248 were more likely to have atrial fibrillation and coronary artery disease, and less likely  
249 to have received antihypertensive therapy than controls, although the overall  
250 prevalence of cardiovascular diseases was similar among cases and controls.  
251 Psychiatric disorders were slightly more common among cases than controls.

252 Metformin was dispensed to 7225 (73.3%) cases and 14528 (74.3%) controls at  
253 least once. Among those receiving metformin, the cumulative duration of use was  
254 similar among controls (median 3.8 years, interquartile range (IQR) 1.4-6.9) and  
255 cases (median 3.7 years, IQR 1.4-6.8) ( $p=0.243$ ). People with AD received a lower

256 cumulative metformin dose over the study period (median 875 DDDs (IQR 275-1880)  
257 versus 925 DDDs (IQR 300-1050),  $p=0.003$ ).

258 No overall association between metformin use (ever use) and AD was observed  
259 (aOR 0.99, 95% CI 0.94-1.05) (Table 2, Figure 1). Examination of the cumulative  
260 duration of metformin use showed  $\geq 10$  years exposure was associated with a  
261 reduced odds of AD (aOR 0.85, 95% CI 0.76-0.95). In the model assessing  
262 cumulative dose received, doses of  $<1825-3650$  and  $>3650$  DDDs were associated  
263 with a reduced odds of AD (aOR 0.91, 95% CI 0.84-0.98 and aOR 0.77, 95% CI  
264 0.67-0.88, respectively). There was some evidence of a dose-response relationship,  
265 with exposure  $>1.0$  DDDs/day (i.e.  $>2g$  per day on average) associated with a  
266 reduced odds of AD (aOR 0.89, 95% CI 0.82-0.96). Conversely, metformin use  
267 during the lag period only was associated with an increased odds of AD in all  
268 models, with a similar measure of association observed each time, and low dose  
269 exposure of  $>0-0.5$  DDDs/day was associated with increased odds of AD (Table 2,  
270 Figure 1).

271 Similar results were obtained from sensitivity analyses where the lookback period to  
272 assess metformin exposure commenced 10 years prior to the index date (Table 3).

273 The shorter lookback period meant we were unable to assess associations between  
274 cumulative duration of metformin use  $\geq 10$  years and cumulative dose  $>3650$  DDDs.

275 Stratification by age at index date, age at diabetes diagnosis and duration of  
276 diabetes resulted in small sample sizes across each category of metformin exposure  
277 and no significant associations were observed (results not shown).

278

## 279 **Discussion**

280 The main finding of this large national study was that there was no association  
281 between metformin use (ever use) and incident AD. Conversely, long-term and high  
282 dose metformin use was associated with lower risk of incident AD. These results  
283 provide important reassurance to clinicians and people living with type 2 diabetes  
284 regarding the safety of this widely prescribed first-line medication.

285 Our findings are in contrast to a previous Taiwanese matched cohort study in which  
286 people newly diagnosed with type 2 diabetes who received  $\geq 90$  days of metformin at  
287 baseline had a greater risk of AD compared to non-users (aHR 2.13, 95% CI 1.20-  
288 3.79) (19). Our findings are also contrary to a previous UK case-control study that  
289 reported an increased risk of AD among people receiving 10-29 metformin  
290 prescriptions (aOR 1.47, 95% CI 1.03-2.09) or  $\geq 60$  prescriptions (aOR 1.71, 95% CI  
291 1.12-2.60) compared to non-users (18). However, there was no evidence of a  
292 consistent dose response effect as the odds of AD in people who received 30-59  
293 prescriptions was not significantly different to non-users. Only 9% of people included  
294 in the UK study were diagnosed with type 2 diabetes, and cases and controls were  
295 not matched on diabetes status, which likely further influenced findings. Our finding  
296 of no increased risk of incident AD with metformin use is similar to a previous  
297 retrospective cohort study involving 71,433 Taiwanese people with type 1 or type 2  
298 diabetes that showed neither metformin monotherapy nor combination therapy were  
299 associated with incident AD (20).

300 In the present study, metformin initiation in the three-year lag period was consistently  
301 associated with an increased AD risk. This is consistent with a growing body of  
302 evidence highlighting the importance of using an appropriate time window in studies  
303 evaluating risk factors for incident dementia and is unlikely to reflect causality (23).

304 Prodromal symptoms of AD lead to increased contact with healthcare personnel and  
305 screening for alternative causes of cognitive impairment such as changes in blood  
306 glucose levels, thus increasing the likelihood of metformin initiation. This finding has  
307 implications for the interpretation of previous studies examining associations  
308 between metformin use and AD, where there was no lag period between metformin  
309 exposure and the primary outcome. It is therefore possible that findings in previous  
310 studies may be explained by a medication exposure assessment period too close to  
311 the measurement of the outcome of AD.

312 Cumulative use of metformin  $\geq 10$  years, cumulative exposure of  $\geq 1825$  DDDs (i.e.  
313  $\geq 3650$ g metformin) and average daily doses of  $\geq 2$ g metformin over a 10-16-year  
314 period were associated with a reduced risk of AD. Potential explanations for these  
315 associations may include a reduction in the macrovascular complications of type 2  
316 diabetes, or reduced inflammation and enhanced neuronal survival consistent with  
317 results of some preclinical studies (13, 28). Although encouraging, we suggest the  
318 associations in the present study are interpreted cautiously because there were  
319 relatively few people exposed to long-term or high-dose metformin in our study.  
320 Metformin prescribing is also contentious in older people with mild to moderate renal  
321 impairment (29). In a recent primary care study involving Finns with type 2 diabetes,  
322 77 (32.6%) of the 236 participants aged  $\geq 70$  years had an estimated glomerular  
323 filtration rate less than  $60\text{mL}/\text{min}/1.73\text{m}^2$  (30). Glycemic control, renal function,  
324 obesity and perceived risk of adverse events impact on treatment decisions in older  
325 people with type 2 diabetes. Metformin may be preferentially prescribed in people  
326 with type 2 diabetes who are overweight or obese because it does not cause weight  
327 gain. We do note, however, that some of the comorbidities that we adjusted for in the  
328 adjusted analyses likely served as proxies and may have captured some of the

329 anticipated variation in body mass index (BMI). We adjusted for renal failure but  
330 were not able to assess each participant's renal function or glycemic control, nor how  
331 these may have influenced medication exposure.

332 This national study assessed cumulative metformin exposure over a 10-16 year  
333 lookback period for each participant and identified important dose-response  
334 relationships with long-term and high-dose metformin use. This is a key  
335 methodological strength but still may not reflect lifetime metformin use for all people  
336 diagnosed with diabetes in midlife. Because persons with diabetes included in this  
337 study had to survive long enough to develop AD, the median age at diabetes  
338 diagnosis was higher than observed in a recent study of Finns newly diagnosed with  
339 type 2 diabetes (70 years versus 63 years) (31). However, protective associations  
340 between long-term metformin use and AD may be greater in people with type 2  
341 diabetes at an earlier age. Results from a subgroup analysis of a cohort study  
342 showed United States (US) veterans aged <75 years at the time of diabetes  
343 diagnosis who received metformin monotherapy for at least two years had a lower  
344 risk of dementia compared to people who received sulfonylurea monotherapy (28).  
345 Two recent US studies that included people with type 2 diabetes who were aged 50  
346 years and over also suggest metformin use may be associated with a reduced risk of  
347 dementia in comparison to sulphonylurea use in younger people (32, 33).

348 Our study has a number of strengths. The AD diagnoses were verified by  
349 neurologists or geriatricians using objective clinical criteria as described above and  
350 the positive predictive values were high. Metformin exposure was assessed in four  
351 different ways (ever use, cumulative duration, cumulative DDDs and DDDs per day)  
352 over a 10-16 year look-back period to provide the most comprehensive evaluation of



353 possible dose-response relationships between metformin use and development of  
354 AD to date. We were also able to control for use of other glucose lowering  
355 medications during the study period. It is possible residual confounding still exists,  
356 however, and we recognize glycemic response to metformin use is variable (12). To  
357 reduce the risk of immortal time bias, we conducted sensitivity analyses in which the  
358 medication exposure period was restricted to 10 years before the index date for all  
359 participants and associations remained. However, the case-control design meant we  
360 were unable to restrict the study sample to people with newly diagnosed diabetes or  
361 include only people newly initiated on glucose lowering therapy. We accounted for  
362 diabetes duration, which is a key limitation of several previous studies, but it is  
363 possible that prior metformin use may have affected some of the disease or  
364 medication covariates adjusted for in this study. We adjusted for macrovascular  
365 complications such as stroke, coronary artery disease and peripheral arterial disease  
366 that may influence diabetes treatment and development of AD, but we did not have  
367 information on lifestyle factors, BMI, non-pharmacological approaches to diabetes  
368 management or medications dispensed during inpatient hospital stays. We adjusted  
369 for renal failure, but lacked laboratory results needed to adjust for glycemic control  
370 and estimated glomerular filtration rate. People admitted to a long-term care facility  
371 (LTCF) during the study period were excluded because the Prescription Register  
372 does not include information about medications dispensed to residents of LTCFs.  
373 Before 2000, the Special Reimbursement Register did not record International  
374 Classification of Diseases codes specifying the type of diabetes diagnosed for an  
375 individual. However, the median age of 70 years at diabetes diagnosis suggests  
376 most people had type 2 diabetes. Residual confounding would also be minimized as  
377 people with early onset type 1 diabetes would likely be matched as people with AD

378 and controls were matched on diabetes duration, and metformin is not indicated for  
379 treatment of type 1 diabetes.

380 Findings of this nationwide study suggest metformin use is not associated with  
381 increased AD risk among community-dwelling older people with diabetes, which is  
382 contrary to previous studies. The apparent association with an increased AD risk in  
383 previous studies may be explained by an exposure assessment period too close to  
384 the outcome and/or inclusion of people without diabetes. These findings add to the  
385 growing body of evidence that choice of glucose lowering medication, dose and  
386 treatment duration in people with type 2 diabetes may be important in reducing the  
387 risk of dementia or delaying onset of symptoms. More population-based research  
388 using large registries with access to additional clinical information such as renal  
389 function and glycemic control is needed to explore associations in people with midlife  
390 diabetes treated with metformin and incident AD. Because metformin initiation  
391 immediately prior to AD diagnosis was associated with increased AD risk in our  
392 study, we also suggest latency periods are necessary in future observational studies  
393 evaluating risk factors for incident dementia.

394

395 **Acknowledgements**

396 We gratefully acknowledge Ms CE Ooi for assistance with manuscript formatting.

397

398 **Data availability**

399 The data used for this study are not available for public access.

400

401 **Contribution statement**

402

403 JKS and MK contributed equally to this work. Study concept: JKS, MK, JSB, SH;

404 study design: all authors; data acquisition and analysis: JKS, MK, AMT, HT, AT, SH;

405 interpretation of the data: all authors; wrote first draft of manuscript: JKS; critical

406 review of manuscript for important intellectual content: all authors. All authors read

407 and approved the final version of the manuscript. Guarantor: JKS

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530 **Table legends**

531 Table 1. Characteristics of individuals diagnosed with Alzheimer's disease (cases)  
532 and individuals without Alzheimer's disease (controls)

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534 Table 2. Associations between metformin use and incident Alzheimer's disease

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536 Table 3. Sensitivity analyses for the associations between metformin use and  
537 incident Alzheimer's disease where the lookback period to assess metformin  
538 exposure commenced 10 years prior to index date for all cases and controls

539

540 **Figure legends**

541 Figure 1. Adjusted odds ratios with 95% confidence intervals for multivariable models  
542 evaluating associations between metformin use and incident Alzheimer's disease

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544

545 Table 1. Characteristics of individuals diagnosed with Alzheimer’s disease (cases) and  
 546 individuals without Alzheimer’s disease (controls)

<b>Characteristic</b>	<b>Individuals with AD (n=9862)</b>	<b>Individuals without AD (n=19550)</b>	<b>p-value</b>
<b>Age (years), median (IQR)<sup>a</sup></b>	80.6 (76.3-84.4)	80.6 (76.3-84.4)	Matched
<b>Female (n, %)<sup>a</sup></b>	5892 (59.7)	11702 (59.9)	Matched
<b>Duration of diabetes (years), median (IQR)<sup>a</sup></b>	9.9 (6.2-14.8)	9.8 (6.1-14.7)	Matched
<b>History of cardiovascular disease (n, %)<sup>b</sup></b>	7734 (78.4)	15495 (79.3)	0.097
Stroke	1028 (10.4)	2049 (10.5)	0.880
Hypertension	5701 (57.8)	11872 (60.7)	<0.001
Coronary artery disease	3576 (36.3)	6829 (34.9)	0.024
Chronic heart failure	1812 (18.4)	3546 (18.1)	0.621
Atrial fibrillation	1259 (12.8)	2246 (11.5)	0.001
Peripheral arterial disease	449 (4.6)	910 (4.7)	0.694
<b>History of renal failure (n, %)<sup>b</sup></b>	61 (0.6)	131 (0.7)	0.604
<b>History of psychiatric disorders (n, %)<sup>c</sup></b>	576 (5.8)	975 (5.0)	0.002
Depression	406 (4.1)	679 (3.5)	0.006
Bipolar disorder	55 (0.6)	75 (0.4)	0.034
Schizophrenia	206 (2.1)	370 (1.9)	0.252
<b>Antihypertensive (ever use) (n, %)<sup>b</sup></b>	8742 (88.6)	17560 (89.8)	0.002
<b>HMG Co-A reductase inhibitor (statin) (ever use) (n, %)<sup>b</sup></b>	5416 (54.9)	10619 (54.3)	0.329
<b>Diabetes medication use</b>			
<b>Sulfonylurea</b>			
Ever use (n, %)	7254 (73.6)	14254 (72.9)	0.239
Cumulative duration of use (y), median (IQR)	5.4 (2.6-8.1)	5.3 (2.5-8.0)	0.127
Cumulative dose (DDDs), median (IQR)	2050 (765-3900)	2050 (750-3850)	0.705
<b>Insulin</b>			

Ever use (n, %)	2902 (29.4)	5931 (30.3)	0.107
Cumulative duration of use (y), median (IQR)	5.7 (2.4-8.8)	5.6 (2.3-8.6)	0.122
Cumulative dose (DDDs), median (IQR)	1641 (525-3375)	1613 (525-3338)	0.867
<b>Other diabetes medication<sup>d</sup></b>			
Ever use (n, %)	1608 (16.3)	3195 (16.3)	0.934
Cumulative duration of use (y), median (IQR)	0.9 (0.2-2.7)	0.9 (0.2-2.5)	0.921
Cumulative dose (DDDs), median (IQR)	200 (67-600)	200 (67-567)	0.992
<i>Glitazones</i>			
Ever use (n, %)	521 (5.3)	1130 (5.8)	0.080
Cumulative duration of use (y), median (IQR)	0.8 (0.3-1.9)	0.9 (0.3-1.9)	0.764
Cumulative dose (DDDs), median (IQR)	243 (75-616)	243 (84-597)	0.952

547 <sup>a</sup> determined at the index date

548 <sup>b</sup> determined using all available history up to three years prior to index date

549 <sup>c</sup> determined using all available history up to five years prior to index date

550 <sup>d</sup> including glitazones

551

Table 2. Associations between metformin use and incident Alzheimer's disease

Metformin exposure	Individuals with AD (n=9862) n, %	Individuals without AD (n=19550) n, %	Unadjusted analyses		Adjusted analyses <sup>a</sup>	
			OR (95% CI)	p-value	OR (95% CI)	p-value
<b>Any use</b>						
No use	1839 (18.7)	3625 (18.5)	Reference		Reference	
Use only during lag period <sup>b</sup>	798 (8.1)	1397 (7.2)	1.14 (1.05-1.25)	0.002	1.12 (1.03-1.23)	0.008
Yes	7225 (73.3)	14528 (74.3)	0.99 (0.94-1.05)	0.812	0.99 (0.94-1.05)	0.775
<b>Cumulative duration of use</b>						
No use	1839 (18.7)	3625 (18.5)	Reference		Reference	
Use only during lag period <sup>b</sup>	798 (8.1)	1397 (7.2)	1.15 (1.06-1.25)	0.001	1.13 (1.03-1.23)	0.007
>0 to < 1 year	1456 (14.8)	2815 (14.4)	1.05 (0.98-1.13)	0.168	1.05 (0.97-1.13)	0.206
1 to <5 years	2980 (30.2)	6038 (30.9)	0.99 (0.93-1.05)	0.787	0.98 (0.92-1.05)	0.599
5 to <10 years	2290 (23.2)	4574 (23.4)	0.99 (0.92-1.05)	0.682	0.98 (0.92-1.05)	0.652
≥10 years	499 (5.1)	1101 (5.6)	0.85 (0.76-0.95)	0.004	0.85 (0.76-0.95)	0.005
<b>Cumulative dose received</b>						
No use	1839 (18.7)	3625 (18.5)	Reference		Reference	
Use only during lag period <sup>b</sup>	798 (8.1)	1397 (7.2)	1.15 (1.06-1.26)	0.001	1.13 (1.03-1.23)	0.007

>0-365 DDDs	2166 (22.0)	4149 (21.2)	1.07 (1.00-1.14)	0.054	1.07 (1.00-1.14)	0.069
>365-1825 DDDs	3187 (32.3)	6395 (32.7)	0.99 (0.94-1.05)	0.803	0.98 (0.92-1.05)	0.563
>1825-3650 DDDs	1578 (16.0)	3299 (16.9)	0.92 (0.86-0.99)	0.026	0.91 (0.84-0.98)	0.010
>3650 DDDs	294 (3.0)	685 (3.5)	0.79 (0.69-0.90)	<0.001	0.77 (0.67-0.88)	<0.001
<b>Cumulative DDDs/cumulative duration of use</b>						
No use	1839 (18.7)	3625 (18.5)	Reference		Reference	
Use only during lag period <sup>b</sup>	798 (8.1)	1397 (7.2)	1.15 (1.05-1.25)	0.002	1.12 (1.03-1.22)	0.009
>0-0.5 DDDs/day	1721 (17.5)	3104 (15.9)	1.11 (1.04-1.19)	0.002	1.11 (1.04-1.19)	0.002
>0.5-1.0 DDDs/day	4344 (44.1)	8849 (45.3)	0.98 (0.92-1.03)	0.382	0.97 (0.92-1.03)	0.320
>1.0 DDDs/day	1160 (11.8)	2575 (13.2)	0.89 (0.83-0.96)	0.003	0.89 (0.82-0.96)	0.003

AD: Alzheimer's disease; CI: confidence interval; DDDs: defined daily doses; OR: odds ratio

<sup>a</sup> adjusted for region of residence, occupational social class, cardiovascular disease (stroke, hypertension, coronary artery disease, chronic heart failure, atrial fibrillation, peripheral arterial disease), psychiatric disorders (bipolar, schizophrenia, depression), renal disease, statin use, antihypertensive use, and use of sulfonylureas, insulin and other diabetes medications.

<sup>b</sup> individuals who were only exposed to metformin during the three-year lag period

Table 3. Sensitivity analyses for the associations between metformin use and incident Alzheimer's disease where the lookback period to assess metformin exposure commenced 10 years prior to index date for all cases and controls

Metformin exposure	Individuals with AD (n=9862) n, %	Individuals without AD (n=19550) n, %	Unadjusted analyses		Adjusted analyses <sup>a</sup>	
			OR (95% CI)	p-value	OR (95% CI)	p-value
<b>Any use</b>						
No use	1977 (20.1)	3871 (19.8)	Reference		Reference	
Use only during lag period <sup>b</sup>	828 (8.4)	1446 (7.4)	1.14 (1.05-1.24)	0.003	1.12 (1.03-1.22)	0.011
Yes	7057 (71.6)	14233 (72.8)	0.98 (0.93-1.04)	0.514	0.98 (0.93-1.04)	0.489
<b>Cumulative duration of use</b>						
No use	1977 (20.1)	3871 (19.8)	Reference		Reference	
Use only during lag period <sup>b</sup>	828 (8.4)	1446 (7.4)	1.14 (1.05-1.24)	0.002	1.12 (1.03-1.22)	0.011
>0 to < 1 year	1421 (14.4)	2756 (14.1)	1.04 (0.97-1.12)	0.301	1.03 (0.96-1.11)	0.404
1 to <5 years	3026 (30.7)	6172 (31.6)	0.98 (0.92-1.03)	0.393	0.97 (0.91-1.03)	0.252
5 to <10 years	2610 (26.5)	5305 (27.1)	0.96 (0.90-1.03)	0.226	0.96 (0.90-1.02)	0.212
<b>Cumulative dose received<sup>c</sup></b>						
No use	1977 (20.1)	3871 (19.8)	Reference		Reference	
Use only during lag period <sup>b</sup>	828 (8.4)	1446 (7.4)	1.14 (1.05-1.24)	0.002	1.12 (1.03-1.22)	0.009

>0-365 DDDs	2118 (21.5)	4050 (20.7)	1.06 (1.00-1.13)	0.072	1.06 (0.99-1.14)	0.075
>365-1825 DDDs	3342 (33.9)	6737 (34.5)	0.98 (0.93-1.04)	0.468	0.97 (0.91-1.03)	0.322
>1825 DDDs	1597 (16.2)	3446 (17.6)	0.89 (0.83-0.95)	0.001	0.88 (0.82-0.95)	<0.001
<b>Cumulative DDDs/cumulative duration of use<sup>c</sup></b>						
No use	1977 (20.1)	3871 (19.8)	Reference		Reference	
Use only during lag period <sup>b</sup>	828 (8.4)	1446 (7.4)	1.14 (1.05-1.24)	0.002	1.12 (1.03-1.22)	0.011
>0-0.5 DDDs/day	1639 (16.6)	3010 (15.4)	1.08 (1.02-1.16)	0.017	1.08 (1.01-1.16)	0.022
>0.5-1.0 DDDs/day	4103 (41.6)	8257 (42.2)	0.98 (0.93-1.04)	0.503	0.98 (0.92-1.04)	0.420
>1.0 DDDs/day	1315 (13.3)	2966 (15.2)	0.87 (0.81-0.93)	<0.001	0.86 (0.80-0.93)	<0.001

AD: Alzheimer's disease; CI: confidence interval; DDDs: defined daily doses; OR: odds ratio

<sup>a</sup> adjusted for region of residence, occupational social class, cardiovascular disease (stroke, hypertension, coronary artery disease, chronic heart failure, atrial fibrillation, peripheral arterial disease), psychiatric disorders (bipolar, schizophrenia, depression), renal disease, statin use, antihypertensive use, and use of sulfonylureas, insulin and other diabetes medications.

<sup>b</sup> individuals who were only exposed to metformin during the three-year lag period

<sup>c</sup> metformin defined daily dose (DDD) is 2g

## Electronic supplementary material

Manuscript title: 'Metformin and risk of Alzheimer's disease among community-dwelling people with diabetes: a national case-control study'

Authors: Janet K Sluggett, Marjaana Koponen, J Simon Bell, Heidi Taipale, Antti Tanskanen, Jari Tiihonen, Matti Uusitupa, Anna-Maija Tolppanen, Sirpa Hartikainen

Table 1. Criteria used to identify medical conditions and history of medication use among cases and controls

Medical condition or medication use	ICD-10 code or Classification number	Measurement period	Data source
Renal failure	Hospitalization (ICD-10: I13.1, N18, N19, Z94.0, Z99.2, Z49; ICD-9 codes: 40311, 40391, 40412, 40492, 585,586, V420, V451, V560, V568) or special reimbursement (classification numbers 137, 138)	Diagnosed between 1987 <sup>a</sup> and the lag date	HDR, SRR
<b>Cardiovascular comorbidities</b>			
History of stroke	ICD-10: I60-I64, I69 ICD-9: 430, 431, 432, 4330A, 4331A, 4339A, 4349A, 4340A, 4341A, 4360 ICD-8: 430, 431, 432, 433, 434	Primary or secondary diagnosis between 1972 and the lag date	HDR
Hypertension	Hospitalization (ICD-10: I10-I15) or special reimbursement (classification number 205)	Diagnosed between 1996 <sup>a</sup> and the lag date	HDR, SRR
Coronary artery disease	Hospitalization (ICD-10: I20-I25) or (NOMESCO: FNA, FNC, FNE, FNG00, FNG10, FN1AT, FN1BT, FN1YT) or special reimbursement (classification numbers 206, 213, 280)	Diagnosed between 1996 <sup>a</sup> and the lag date	HDR, SRR
Chronic heart failure	Hospitalization (ICD-10: I42-43, I50, I110) or special reimbursement (classification number 201)	Diagnosed between 1996 <sup>a</sup> and the lag date	HDR, SRR
Atrial fibrillation	Hospitalization ICD-10: I48	Diagnosed between 1996 and the lag date	HDR
Peripheral arterial disease	Hospitalization (ICD-10: I70, I712, I714, I716, I719, I73, I77, I79, K551, K559, Z958; ICD-9 codes: 4400-4409, 4412, 4414, 4417, 4419, 4431-4439, 4471, 5571, 5579, V434)	Diagnosed between 1987 and the lag date	HDR



<b>Psychiatric disorders</b>			
Depression	Hospitalization (ICD-10: F32-34, F38-39; ICD-9 codes: 2961, 2968, 3011, 3004; ICD-8 codes: 2960, 3004, 3011)	Diagnoses from 1972 until five years prior to the index date	HDR
Bipolar disorder	Hospitalization (ICD-10 codes F30-31; ICD-9 codes 2962, 2963, 2964 and 2967; ICD-8 codes 29610, 29620, 29630, 29688 and 29699)	Diagnoses from 1972 until five years prior to the index date	HDR
Schizophrenia, schizotypal and delusional disorders	Hospitalization (ICD-10 codes F20–29; ICD-9 codes 295, 297, 298, 3010 and 3012; ICD-8 codes 295, 297, 298, 29999, 30100 and 30120)	Diagnoses from 1972 until five years prior to the index date	HDR
<b>Previous medication use</b>			
HMG Co-A reductase inhibitor (statin)	ATC codes C10AA, C10BA, C10BX	Medications dispensed from 1995	PR
Antihypertensive <sup>b</sup>	ATC codes C02, C03, C07, C08, C09	Medications dispensed from 1995	PR
Sulfonylurea	ATC codes A10BB, A10BD02, A10BD04, A10BD06	Medications dispensed from 1995	PR
Insulin	ATC code A10A	Medications dispensed from 1995	PR
All other diabetes medications <sup>c</sup>	All other medications identified by ATC code A10 excluding use of metformin, sulfonylureas and insulin	Medications dispensed from 1995	PR

ATC: Anatomical and Therapeutic Chemical; HDR: Hospital Discharge Register; ICD: International Classification of Diseases; PR: Prescription Register; SRR: Special Reimbursement Register.

<sup>a</sup> Special reimbursements since 1972

<sup>b</sup> Includes beta blocking agents, calcium channel blockers, agents acting on the renin-angiotensin system and diuretics

<sup>c</sup> excluding metformin, sulfonylureas and insulin