

Cellular mechanisms of valvular thickening in early and intermediate calcific aortic valve disease

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Running title: Early and intermediate valvular thickening in CAVD

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Keywords: Aortic valve, aortic stenosis, calcification, atherosclerosis, inflammation

Subject codes: Valvular heart disease

Word count: 5477

Total number of figures and tables: 2

Abstract

Calcific aortic valve disease is common in an aging population. It is an active atheroinflammatory process that has an initial pathophysiology and similar risk factors as atherosclerosis. However, the ultimate disease phenotypes are markedly different. While coronary heart disease results in rupture-prone plaques, calcific aortic valve disease leads to heavily calcified and ossified valves. Both are initiated by retention of low-density lipoprotein particles in the subendothelial matrix leading to sterile inflammation. In calcific aortic valve disease, the process towards calcification and ossification is preceded by valvular thickening, which can cause the first clinical symptoms. This is attributable to the accumulation of lipids, inflammatory cells and subsequently disturbances in the valvular extracellular matrix. Fibrosis is also increased but the innermost extracellular matrix layer is simultaneously loosened. Ultimately, the pathological changes in the valve cause massive calcification and bone formation - the main reasons for the loss of valvular function and the subsequent myocardial pathology. Calcification may be irreversible, and no drug treatments have been found to be effective, thus it is imperative to emphasize lifestyle prevention of the disease. Here we review the mechanisms underpinning the early stages of the disease.

Nonstandard Abbreviations

apoB	apolipoprotein B
Ang	angiotensin
AT1R	type 1 angiotensin receptor
CAVD	calcific aortic valve disease
ECM	extracellular matrix
LDL	low-density lipoprotein
MMP	matrix metalloproteinase
oxLDL	oxidized LDL
RAS	renin-angiotensin system
TIMP	tissue inhibitor of matrix metalloproteinase
VIC	valve interstitial cell

Introduction

Calcific aortic valve disease (CAVD) is an active inflammatory disease characterized by several hallmarks of atherosclerosis. The main difference is the ultimate phenotype – CAVD does not lead to vulnerable plaques but rather, heavily calcified and ossified aortic valves. As the accumulation of calcium and bone seems to be irreversible, early prevention is of paramount importance. Due to the active nature of the disease, it seems plausible that there would be a causal chain of events leading to the final disease phenotype. It will be necessary to clarify the early stages of the disease if we are to prevent the progression. In this review, we summarize the mechanisms of early valvular thickening – the stage in which CAVD may become symptomatic.

Cellular and subcellular events of early CAVD take place in valves consisting of a three-layered extracellular matrix (ECM) (Figure 1). Each layer has its own characteristic main structural protein¹. The main layers are termed ventricularis, spongiosa and fibrosa with their corresponding main components being elastin, glycosaminoglycans and collagen. While these layers are rather stable, some studies in porcine models have indicated that the ultrastructural organization may change with aging². The three layers also have somewhat different functional roles. In the ventricularis, elastin provides the necessary stretching ability, but it also serves as a scaffold to maintain valvular collagen in place^{3,4}. The spongiosa layer distributes the hemodynamic stress across the valve leaflets, acting as a shock- and vibration-absorbing cushion^{5,6}. Finally, the fibrosa has a highly dynamic pressure-dependent circumferential collagen structure, and it is the main load-carrying layer in the valve⁷.

The ECM of aortic valve cusps consists of a heterogeneous class of mesenchymal cells, called valve interstitial cells (VICs, reviewed in^{8,9}). VICs have several functions, including the maintenance of valve ECM as well as contributing to certain physical characteristics of the valve cusps, and their responses to injury. VICs and other mesenchymal cells share many similarities and display non-specific features of both smooth muscle cells and myofibroblasts. Valvular VICs seem to have a distinct surface antigen expression profile and an ability to respond to vasoactive agents and in these respects differ from the mesenchymal cells present in pericardium and skin¹⁰. Five different phenotypes of VICs have been postulated¹¹: embryonic progenitor endothelial/mesenchymal cells, quiescent VICs (qVICs), progenitor VICs (pVICs), activated VICs (aVICs) and osteoblastic VICs (obVICs).

Comparing the early initiation of atherosclerosis and CAVD

In the first seminal human study investigating the early stages of CAVD, autopsy samples were histochemically characterized in order to examine the pathophysiological features associated with this disease¹². Several hallmarks of atherosclerosis were seen already in non-stenotic yet thickened valves. The basement membrane below the endothelium was found to be disorganized and the tissue had become infiltrated by neutral lipids (mainly triglycerides, as revealed by Oil Red O staining). Thickening of the valve was found to be due to increased ECM of the fibrosa layer. These areas also contained macrophages, foam cells and T lymphocytes, whereas only scattered macrophages were found in the control valves. Small calcifications were also found in the early thickened regions.

More recently, newly formed lesions have also been found to contain apolipoproteins B (apoB), (a) and E¹³. In atherosclerosis, the attachment of apoB-containing low-density lipoprotein (LDL) -particles in the intima-layer of coronary arteries is both sufficient and essential to trigger the initiation of atherosclerosis¹⁴. A significant discovery supporting this concept is that if the electrical interactions between apoB primary structure and proteoglycan are removed, transgenic mice become highly resistant to atherosclerosis despite significantly elevated serum LDL levels¹⁵. Because of their similar histologies, it is reasonable to propose that an analogous process initiates CAVD.

Retained apoB containing particles are predisposed to undergo a variety of oxidative modifications. Oxidized LDL (oxLDL) particles are strong triggers evoking an inflammatory response and the valves in the early stages of CAVD also exhibit oxLDL¹⁶. Certain proteoglycans such as decorin¹⁷ and biglycan¹⁸ colocalize with oxLDL, indicating that they can bind these particles within the valvular ECM. Recent studies have also shown that isolated LDL particles from aortic valves have larger diameters and are oxidatively modified, demonstrating that they aggregate and become modified within the valves, very likely contributing to the early inflammatory signals¹⁹. In conclusion, the data suggest that the early events in both atherosclerosis and CAVD are very similar (Figure 2).

Localization of coronary plaques and the effects of hemodynamics also provide important clues to the similarities between early CAVD and atherosclerosis. Plaques are mostly located in the branching regions and arterial bends, where local shear stress is lower (reviewed in^{20,21}). This causes endothelial cells to express an inflammatory response which includes the induction of several vascular adhesion molecules (vascular cell adhesion molecule 1, intercellular adhesion molecule 1, and E-Selectin), ECM breakdown, increased uptake and permeability of LDL particles, apoptosis, widening of intercellular junctions (which allows inflammatory cells to infiltrate through the endothelium), oxidative stress, increased thrombogenicity and impaired vasodilatation.

Many of these processes remain to be explored in CAVD but several independent lines of evidence suggest that local hemodynamics also affect valvular tissue in a similar, pro-atherogenic fashion: 1) The aortic side of the valves is subject to turbulent and transiently reduced shear stress (reviewed in²²). This is also the side where early lesions are invariably found¹²; 2) The bicuspid aortic valve (BAV) causes hemodynamically different conditions characterized by larger differences in shear stress compared to the more typical tricuspid valve (reviewed in²³). BAV usually leads to a faster progression of CAVD and earlier clinical manifestation by about two decades^{24,25}; and 3) In tricuspid valves, the right- and left-coronary cusps are adjacent to the ostia leading to the coronary arteries. This causes these cusps to be also subjected to more laminar shear stress. The non-coronary cusp has a different hemodynamic environment and is usually affected most by early valvular thickening the²⁶.

Inflammation in early atherosclerosis and CAVD

It has become widely recognized that in atherosclerosis, LDL retention in the arterial intima is the initiating event for plaque development. The subsequent inflammatory

response is likely intended to clear the ectopic cholesterol from the arterial wall. If the macrophage-derived foam cells are successful, the initial fatty streaks are removed. However, if the exposure to pro-atherosclerotic factors persists, inflammation becomes chronic, leading to the formation of an atheroma. In addition to macrophages, other cells of the immune system, including T-cells, mast cells and B cells are also present in atherosclerotic lesions (this process has been thoroughly reviewed²⁷⁻³¹).

In early CAVD, many of these cells are also encountered e.g., macrophages and foam cells¹², T lymphocytes^{12,32}, mast cells³³ and B cells³⁴. Macrophages are localized close to lipid depositions¹⁶ whereas T lymphocytes are found near both lipids¹⁶ and calcifications³². Mast cells are more evenly distributed throughout the valves although with a slight preference for calcific nodules³³ and finally B cells are situated close to the macrophages³⁴.

In addition to diverse cell types, several molecular components of an inflammatory response have also been found in CAVD. For example, the levels of vascular cell adhesion molecule 1, intercellular adhesion molecule 1 and E-selectin are upregulated in diseased valves compared to controls^{35,36}. Mechanistic studies have elucidated some of the inflammatory pathways in more detail. Increased expression of interleukin-1 β has been detected in the leukocytes present in stenotic human aortic valves³⁷. VICs were exposed to interleukin-1 β , resulting in increased production of matrix metalloproteinases (MMPs) -1 and -2. Their presence has also been confirmed immunohistochemically in diseased valves. In addition, tumor necrosis factor- α has been detected in valvular macrophages and shown to upregulate the expression of MMPs in VICs³⁸. These studies emphasize that not only is inflammation clearly present in early CAVD but that it also contributes to ECM remodeling early on in the disease spectrum.

Active extracellular matrix remodeling

During the intermediate stage of CAVD, there is more pronounced valvular thickening. Histologically, this is characterized, in part by an initial disorganization and then a loosening of the spongiosa layer of valvular ECM³⁹. In clinical terms, the valvular thickening contributes to the loss of function, creating an increased myocardial strain. In addition, thickening also promotes CAVD progression. ECM integrity appears to be critical for the physiological function of the VIC because its disruption causes apoptosis and upregulation of several disease-related markers, such as α -smooth muscle actin, alkaline phosphatase, and osteocalcin⁴⁰. Paradoxically, the overall amount of collagen in the valves decreases with CAVD progression, despite upregulated type I collagen production⁴¹. This indicates that CAVD also possesses a significant component of active ECM degradation, which is also evident from the increased expression of MMPs. Concordant degradation and synthesis of ECM are general features of an active remodeling in developmental processes and several diseases (for a general review, see⁴²). In the following chapters, these simultaneous processes are examined separately.

Activation of the local renin-angiotensin-system and other pro-fibrotic factors

The renin-angiotensin-system (RAS, or renin-angiotensin-aldosterone-system, RAAS) is known to be involved in many illnesses and systemic physiologic processes. Certain

parts of RAS are found locally within many tissues which means that angiotensin II (Ang II) is also an intracrine signaling molecule (reviewed in⁴³⁻⁴⁵). 'Local RAS' has significant roles in fibrosis and inflammation and has therefore been extensively researched in the cardiovascular system (reviewed in⁴⁶⁻⁵¹).

Components of the local RAS are expressed in healthy and diseased aortic valves. For example, cultured VICs are able to produce angiotensinogen, and angiotensin-converting enzyme (ACE) *de novo*⁵². ACE has also been found to physically interact with LDL particles in the plasma and with apoB proteins in CAVD, which suggests that should LDL be retained in the valve, it may also carry ACE along with it. Ang II has also been found to colocalize with apoB and ACE, which implies that the latter is enzymatically active⁵³. Degranulated mast cells are also a source for Ang II in aortic valves. They can secrete chymase, which is another peptidase able to cleave Ang I into Ang II³³.

Expression of type 1 angiotensin receptor (AT1R) on VICs has also been reported⁵³. This may have pathological significance as Ang II exerts its pro-fibrotic effect via AT1R. Cardiac myofibroblasts displayed upregulation of the production of LDL-binding biglycan when cultured with Ang II^{54,55}, which could make it feasible to postulate that a similar response could also occur in VICs. The synthesis of type I collagen is significantly increased around calcific nodules⁴¹. This elevated synthesis of type I collagen and biglycan can be hypothesized to be significant contributors to the fibrotic phenotype of intermediate CAVD. Support for the clinical features of this putative Ang II-mediated valvular fibrosis emerged from a bioreactor-study, in which porcine aortic valves became significantly less flexible upon incubation in Ang II-containing media⁵⁶.

Novel components of RAS have been discovered in recent years. One of these is an ACE homologue, ACE2, which is able to cleave Ang I into a distinct nona-peptide, Ang(1-9)⁵⁷. This is also an alternative substrate for ACE which turns Ang(1-9) into Ang(1-7) that has a specific receptor called Mas⁵⁸. Compared to AT1R, Mas seems to have opposing downstream effects⁵⁹, much like the angiotensin type 2 receptor (AT2R) (reviewed in⁶⁰). Together, AT2R, Mas and ang(1-7) can be considered to be part of a "compensatory arm" of RAS which counterbalances the vasoconstrictive and pro-fibrotic effects of Ang II and AT1R (reviewed in⁶¹⁻⁶³). In calcific aortic valves, Mas and AT2R are downregulated, which is consistent with the proposal that the Ang II- and AT1R-mediated pro-fibrotic local RAS is the dominating arm in this disease⁶⁴.

Another somewhat novel RAS component is the (pro)renin receptor⁶⁵. It binds renin and prorenin and it also mediates a pro-fibrotic response (reviewed in⁶⁶). The study by Peltonen et al. (2011) suggested that the (pro)renin receptor is expressed in neovessels of diseased valves. However, in overall valve tissue, its mRNA was not significantly downregulated. If confirmed in subsequent studies, this would imply that while the receptor has pro-fibrotic effects in certain cells, its total contribution to CAVD may be time and location-dependent.

Many non-RAS components also promote active fibrosis. If VICs are cultured in the presence of transforming growth factor beta-1, they begin to express a pro-fibrotic

phenotype⁶⁷. Both endothelin-1, a fibrosis-inducing factor (reviewed in^{68–70}) and its receptor are upregulated in CAVD⁷¹. Further mechanistic studies will be required to confirm the role of transforming growth factor beta-1 and endothelin-1 in CAVD.

Downregulation of anti-fibrotic factors may be another way for fibrosis to become dominant in CAVD. C-type atrial natriuretic peptide (CNP) is one of these anti-fibrotic factors; it has been shown to inhibit fibrosis in *in vitro*⁷² as well as after experimental MI *in vivo*⁷³. The expressions of CNP and its receptors are downregulated in CAVD⁷⁴, but more detailed research of the contribution of CNP for CAVD development is needed.

Extracellular matrix degradation

Loss of the collagen content and loosening of the spongiosa layer of the valvular ECM in CAVD are directly opposing processes to fibrosis. This must be mediated by specific ECM-degrading enzymes. One of the most studied are the MMPs which have various functions in cardiovascular diseases (reviewed in^{75,76}). In CAVD, increased expression of MMPs -1, 2, -3 and -9 has been reported^{38,39,77,78}. In order to maintain their physiological functions, the activities of the MMPs are counterbalanced by the presence of specific tissue inhibitors (tissue inhibitor of metalloproteinases, TIMPs). Although it may seem paradoxical, it appears that expressions of TIMP-1 and -2 are significantly increased in stenotic valves^{38,78,79}. However, there seems to be a significant overproduction of MMPs with respect to the TIMPs in diseased valves⁷⁸. This suggests that while TIMPs may be able to inhibit some level of ECM degradation, an overwhelming persistent inflammation will eventually cause the tissue degradation to become prevalent. Indeed, in milder stages of the disease, TIMP expression tends to be dominant, which is also a feature seen in atherosclerosis⁸⁰.

In CAVD, MMPs may originate from VICs, since they appear to be capable of expressing MMP-2 (and TIMP-1 and -2), in primary cell culture conditions³⁹. After stimulation by pro-inflammatory TNF- α , the VICs upregulate MMP-1 production while TIMP-1 expression remains unchanged, supporting the imbalance hypothesis³⁸. In addition to the VICs, macrophages/monocytes are also able to express a variety of MMPs (reviewed in⁸¹). These data also strongly implicate inflammation as a direct causal driver of ECM degradation.

Another ECM-modulating pathway, similar to the MMP-TIMP-system, involves a family of cysteine proteases called cathepsins and their tissue inhibitors. Similar to the MMP-TIMP-system, cathepsins and their inhibitors seem to be significant contributors to many cardiovascular diseases, from atherosclerosis to aneurysms (reviewed in^{82–84}). In CAVD, a significant upregulation has been reported in the levels of cathepsins S, K and V as well as in their inhibitor, cystatin C⁸⁵. Cathepsin V was detected in close proximity to valvular neovessels, where it may be able to degrade the ECM to make way for new blood vessels. While more studies are needed, it appears that similar to the situation with the MMP-TIMP-system, also with cathepsins and their inhibitors, the ultimate disease phenotype is caused by their degradative properties overcoming their inhibition.

Role of Ip(a) (lipoprotein a) in early lesion development

Epidemiological studies have highlighted that elevated serum levels of lp(a) are a strong risk factor for CAVD^{86–89}. Strong evidence for causality has been implied in a Mendelian Randomization study⁹⁰. It has also been shown that the oxidized phospholipids carried by lp(a) (OxPL) are associated with a faster progression of CAVD⁹¹.

In more mechanistic experimental studies, OxPLs on lp(a) particles have been postulated to be the drivers of pathogenesis. Lipoprotein-associated phospholipase A₂ (Lp-PLA₂) is enriched in lp(a)⁹² and it has the ability to hydrolyze OxPLs into lysophosphatidylcholine (LPC) which has been reported to activate mineralization in VICs⁹³. Lp(a) particles also possess another enzyme, autotaxin (ATX), which hydrolyzes LPC into lysophosphatidic acid (LPA). LPA is also a potent promoter of calcification⁹⁴. ATX was also found to be expressed by VICs, indicating that although serving as an important additional source, lp(a) particles are not required for ATX-mediated calcification pathway. While macroscopically larger deposits of calcium emerge in the later stages of CAVD, it should be noted that these lp(a)-dependent primary mechanisms are likely present already during disease initiation. This emphasizes the need for early prevention.

Summary

Several early hallmarks of CAVD are very similar to those encountered in atherosclerosis; LDL retention, infiltration of inflammatory cells and subsequent ECM remodeling. Valvular thickening, which may cause many of the first clinical symptoms, is ultimately the result of an accumulation of foam cells into the valve as well as loosening of the spongiosa layer of valvular ECM. The valve's mechanical properties are also compromised by the increased fibrosis occurring in other areas. All of these are active processes that precede much of the irreversible calcification. Lifestyle interventions should always be the first line of prevention of cardiovascular disease. In the case of CAVD, this is the only feasible approach, since no drug treatments have been found to be effective. These interventions are best targeted towards classical atherosclerotic risk factors.

Acknowledgements: None

Sources of funding: P. Ohukainen acknowledges the Aarne Koskelo and Emil Aaltonen Foundations for financial support. H. Ruskoaho acknowledges the Academy of Finland (grant no. 266661), the Sigrid Jusélius Foundation and Finnish Foundation of Cardiovascular Research for financial support. J Rysä acknowledges the Academy of Finland (grant no. 276747) and Finnish Foundation of Cardiovascular Research for financial support.

Disclosures: None

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Main findings

- The early stages of calcific aortic valve disease and coronary atherosclerosis are very similar.
- The main pathological contributors are inflammation, extracellular matrix remodeling, activation of local renin-angiotensin system, and lipoprotein (a) mediated processes.
- These initial stages lead to irreversible calcification, highlighting the importance of early prevention.