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# Anti-oxidative and UV-absorbing Biohybrid Film of Cellulose Nanofibrils and Tannin Extract

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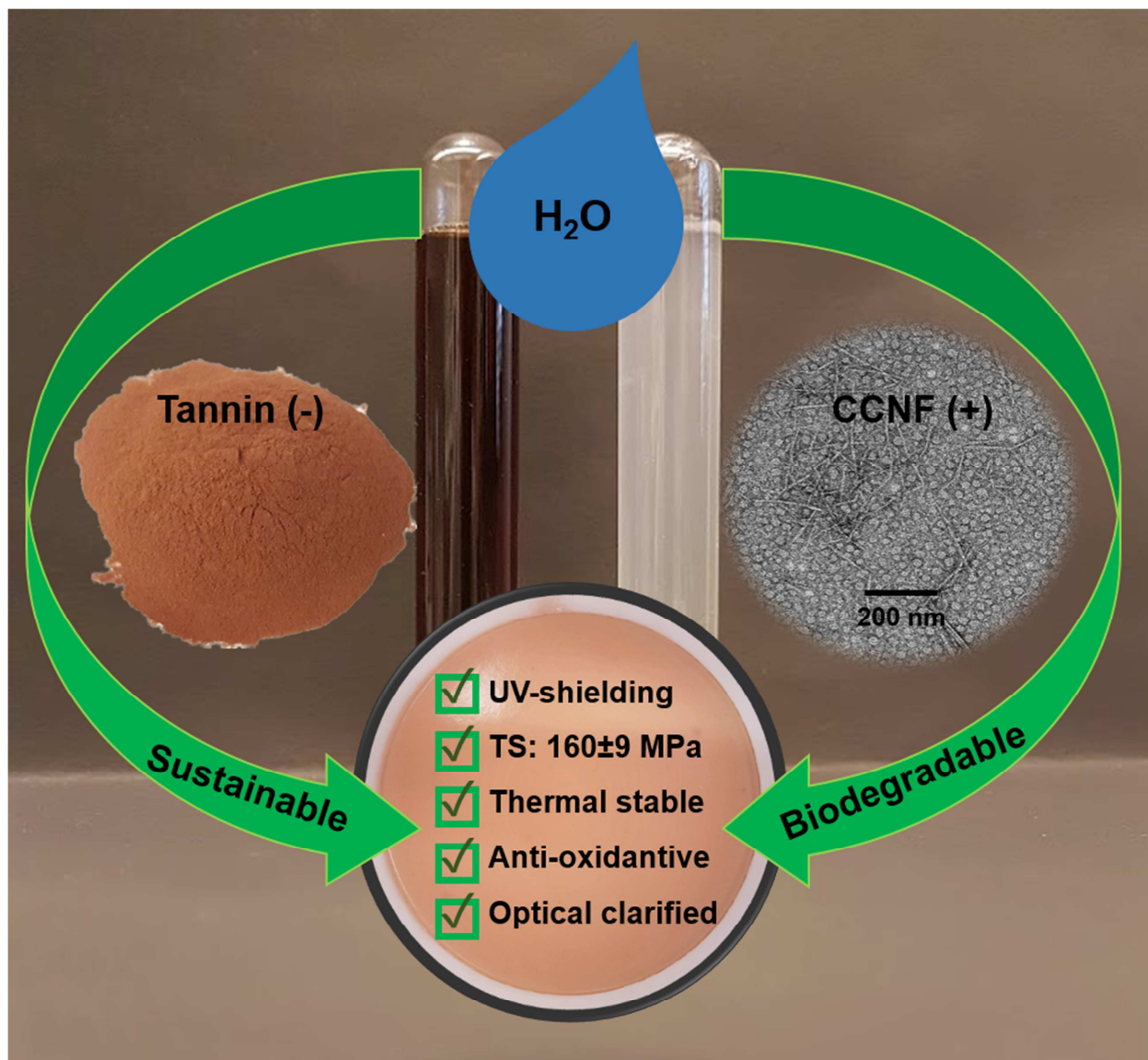
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## Graphical abstract



1 Anti-oxidative and UV-absorbing Biohybrid Film of  
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19 ABSTRACT: Cellulose and tannin are both abundant and biodegradable natural polymers. This  
20 study proposes a strategy to construct biohybrid films combining the characteristics of cationic  
21 cellulose nanofibrils (CCNFs) and tannin extract for film applications. Multi-functional  
22 biohybrid films with anti-oxidative and UV-adsorbing characteristics were successfully  
23 fabricated from CCNF and tannin mixtures with different mass ratios. The results indicated that  
24 pure CCNF could be endowed with multi-functionality by a small amount of introduced  
25 flavonoid-rich tannin extract. By adding 5% (w/w) of extract, CCNF-tannin film achieved good  
26 anti-oxidant and UV-shielding ability, and simultaneously obtained ca. 15% improved thermal  
27 stability and tensile strength of up to  $160 \pm 9$  MPa. In addition, tannin extract was able to  
28 enhance the optical clarity of CCNF film with tailorable appearances. The biohybrid films  
29 essentially consisted of renewable materials, and they can potentially be exploited in sustainable  
30 applications such as biocomposites and packaging materials.

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37 KEYWORDS: cellulose nanofibrils; tannin extract; sustainability; multi-functional; anti-  
38 oxidative

## 39 1. Introduction

40 Petroleum-derived plastics, such as polyethylene and polystyrene, have been favoured in  
41 everyday applications because they are inexpensive, lightweight and tailorable with mechanical  
42 stretching.(Stell, Paul, & Barlow, 2004) However, environmental challenges associated with  
43 used plastic products as wastes,(Rochman et al., 2013) as well as the exponentially increased  
44 demand for plastics (estimated use is approx. 33 billion tons by the year 2050(Goh et al., 2016)),  
45 drive researchers to search for natural renewable resources (*e.g.* collagen, chitosan, tannin and  
46 cellulose) as sustainable alternatives. These natural polymers are not only biodegradable and  
47 abundant,(Gross & Kalra, 2002) but many of them are also promising to form multi-functional  
48 materials. For example, a bio-based matrix made from chitosan and cellulose can provide longer  
49 shelf life for food products,(Noshirvani, Ghanbarzadeh, Rezaei Mokarram, & Hashemi, 2017)  
50 and hybrid films fabricated from nanocellulose - ZnO(Feng et al., 2017; Y. Jiang et al., 2015) / -  
51 lignin(Sadeghifar, Venditti, Jur, Gorga, & Pawlak, 2017) / - aramid nanofibers(J. Luo et al.,  
52 2019) were reported to have great performance on UV-shielding while remained good  
53 transparencies. In addition to the introduction of UV protection, natural additives such as  
54 phenolic substances from plants are also known for the production of materials with anti-  
55 microbial(Krepker et al., 2017) and metal chelating abilities(Flora & Pachauri, 2010; Xu, Wang,  
56 Jin, Wang, & Qin, 2017).

57 Complex proanthocyanidins are amongst the most abundant polymeric phenolic compounds  
58 generated during the secondary metabolism of plants, and the polymerized components of  
59 catechin units are commonly referred to as tannins. They are well known as natural preservatives  
60 (for example, to protect a tree from deterioration by insects, fungi and light)(Anttila et al., 2013;  
61 Laks, McKaig, & Hemingway, 1988; Thevenon, Tondi, & Pizzi, 2009) with high antioxidant  
62 activity(Okuda & Ito, 2011) and binding ability to proteins, alkaloids and certain  
63 polysaccharides.(Carn et al., 2012; Haslam, 1998) These prerequisites have made tannins  
64 suitable for a variety of applications in the food, medical and leather industries.(Pizzi, 2008;  
65 Pranantyo et al., 2015; Gianluca Tondi et al., 2012) However, natural water-soluble tannin has a  
66 tendency to be washed away and therefore has a high leachability, which limits the applicability  
67 of tannin in many applications.(G. Tondi, Schnabel, Wieland, & Petutschnigg, 2013; G. Tondi,  
68 Thevenon, et al., 2013) Nevertheless, slight acidity and negative charged tannin (due to their  
69 phenolic and carboxylic structures) can be utilized to form complexes with binding  
70 compounds(Weckman, Olsson, & Tufenkji, 2014) and nanoparticles.(Zou et al., 2017)

71 Cellulose nanofibrils (CNFs) exist commonly as a structural constituent in the cell wall of higher  
72 plants. Depending on the raw materials and isolation methods, CNFs are usually 3–100 nm in  
73 width and several micrometres in length.(Klemm et al., 2011) The high aspect ratio and inherent  
74 chemical structure of cellulose (*e.g.* three reactive hydroxyl groups in each repeating unit) and its  
75 chemically modified counterparts also make CNFs (*i.e.* cationic,(T. T. T. Ho, Zimmermann,  
76 Hauert, & Caseri, 2011; Thao T. T. Ho, Zimmermann, Ohr, & Caseri, 2012; P. Li, Sirviö,  
77 Asante, & Liimatainen, 2018; Sirviö et al., 2014; Aulin, Johansson, Wågberg, & Lindström,  
78 2010; Olszewska et al., 2011) anionic(Isogai, Saito, & Fukuzumi, 2011a; Saito, Nishiyama,  
79 Putaux, Vignon, & Isogai, 2006; Selkälä, Sirviö, Lorite, & Liimatainen, 2016; Sirviö, Visanko,  
80 & Liimatainen, 2016; Wågberg et al., 2008) and nonderivatizing(Sirviö, Visanko, &  
81 Liimatainen, 2015; P. Li, Sirviö, Haapala, & Liimatainen, 2017)) potential complexation agents  
82 with polyphenols. Although complex polyphenols such as tannins can provide favourable

83 properties (*e.g.* transparency,(Fukuzumi, Saito, Iwata, Kumamoto, & Isogai, 2009) thermal  
84 stability,(P. Li et al., 2017) light weight(Mohieldin, Zainudin, Paridah, & Ainun, 2011) and high  
85 mechanical strength(Oksman, Mathew, Bondeson, & Kvien, 2006)) for the CNF materials,  
86 homogeneous dispersion of tannin and its strong interaction with CNFs are a challenge to  
87 achieve. For example, anionic CNFs have a repulsive interaction with the negatively charged  
88 tannin particles, which weakens the formation of complexes,(Thao T. T. Ho et al., 2012) whereas  
89 nonderivatized CNFs either have a poor binding ability to tannin or external mechanical forces  
90 are required to improve the interaction between CNF and tannin.(Missio et al., 2018)

91 Recently, an effective and green method was applied to produce cationic CNFs (CCNFs). The  
92 reaction medium and reagent, deep eutectic solvent (DES),(Smith, Abbott, & Ryder, 2014) could  
93 be recycled five times with no observable effect on reaction efficiency, and CCNF was produced  
94 after mild mechanical disintegration.(P. Li et al., 2018) Here, a strategy based on electrostatic  
95 attractions between CCNFs and anionic tannin was addressed to form highly compatible, multi-  
96 functional biohybrid films.(Thao T. T. Ho et al., 2012) The kinetics of the adsorption of tannins  
97 onto the CCNF was previously verified to follow a pseudo-second-order model and indicated a  
98 chemisorption process.(Y. S. Ho, McKay, Wase, & Forster, 2000) Moreover, the adsorption  
99 process was concluded to be highly pH dependent.

100 In this study, we investigated the fabrication of anti-oxidative and UV-absorbing biohybrid films  
101 of CCNF and tannin using a simple vacuum-filtration method. No additional fibrillation force  
102 was applied, still homogeneous CCNF-tannin film can be fabricated by electrostatic attraction.  
103 The weight ratios between CCNF and tannin were set as 99:1, 95:5 and 90:10. Structural  
104 features, thermal stability, mechanical strength, UV-shielding and anti-oxidant properties of  
105 biohybrid films were characterized using field emission scanning electron microscopy (FESEM),  
106 thermogravimetric analysis (TGA), universal material testing machine, ultraviolet-visible light  
107 (UV-vis) spectroscopy and 2,2-diphenyl-1-picrylhydrazyl (DPPH) radical scavenging activity,  
108 respectively.

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## 120 2. Material and methods

### 121 2.1. Materials

122 Bleached kraft birch (*Betula pendula*) pulp sheets were used as cellulose raw material after  
123 disintegration in deionized water. The pulp contents of cellulose (74.8 wt.%), xylan (23.6 wt.%)  
124 and glukomannan (1.1 wt.%). (Liimatainen, Sirviö, Haapala, Hormi, & Niinimäki, 2011)  
125 Commercial quebracho tannin extract (refined from quebracho colorado, *Schinopsis lorenzii*) in  
126 the form of dry powder was obtained from Haarla Limited (Tampere, Finland). Tannin extract  
127 contains 117 mg/g of tannin and 10 mg/g residual carbohydrates. Lithium chloride (LiCl) (99%),  
128 sodium periodate (NaIO<sub>4</sub>) (> 99%) and 2,2-diphenyl-1-picrylhydrazyl were obtained from Sigma  
129 Aldrich (Germany) to produce dialdehyde cellulose (DAC). Ethanol (CH<sub>3</sub>CH<sub>2</sub>OH) (96%) and  
130 glycerol (C<sub>3</sub>H<sub>8</sub>O<sub>3</sub>) (97%) were from VWR International (Fontenay-sous-Bois, France), and  
131 aminoguanidine hydrochloride (CH<sub>6</sub>N<sub>4</sub>·HCl) (> 98%) was from Tokyo Chemicals Industry Co.,  
132 Ltd. (Tokyo, Japan) to produce cationized dialdehyde cellulose (CDAC).

133

### 134 2.2. Cationization of Cellulose

135 A two-step method based on consequent periodate oxidation and cationization in DES  
136 (composed of aminoguanidine hydrochloride and glycerol) was employed to produce CCNFs  
137 from birch pulp. (Sirvio, Hyvakko, Liimatainen, Niinimaki, & Hormi, 2011) In brief, 10 g (abs.)  
138 of birch pulp was diluted to 1000 g with deionized water, and the suspension was heated to a  
139 final temperature of 55 °C in an oil-bath system. A total of 18 g of LiCl with 8.2 g of NaIO<sub>4</sub> was  
140 added to react with the cellulose for 3 h at 55 °C to obtain DAC (aldehyde content at 2.2 mmol g<sup>-1</sup>  
141 <sup>1</sup>). The reaction beaker was fully covered with aluminium foil to avoid the light-induced  
142 decomposition of the periodate. The DAC was filtered and washed with 1000 ml of 50:50  
143 ethanol water solution, mixed in 500 ml ethanol twice for 15 min and filtrated. Cationization of  
144 DAC was done in DES to obtain cationic cellulose with a charge density of 1.1 mmol g<sup>-1</sup> as  
145 determined previously. (P. Li et al., 2018) The DES was formed by mixing aminoguanidine  
146 hydrochloride and glycerol in a Scott bottle with a molar ratio of 1:2. A clear DES solution was  
147 obtained by melting the compounds at 90 °C after which the reaction temperature was adjusted  
148 to 70 °C. The DAC was added to a DES with a mass ratio of 1:20. The reaction was kept at 70  
149 °C for 10 min and stirred continuously with a magnetic bar. After cationization, the reaction  
150 bottle was removed from the oil-bath system and 250 ml of ethanol were added. CDAC  
151 suspension was filtrated and washed twice with 500 ml of ethanol.

152

### 153 2.3. Fabrication of Cationized Cellulose Nanofibrils

154 Mechanical disintegration was used to liberate the CCNFs from the CDAC. First, a 1% CDAC  
155 solution was mixed with the Ultra-Turrax mixer (IKA T25; Germany) at 12,000 rpm for 10 min  
156 and then further disintegrated using a microfluidizer (M-110EH-30; Microfluidics Inc.,  
157 Westwood, MA, USA) with a pressure of 1000 bars. The suspension was passed twice through  
158 the microfluidizer chambers (400 and 200 µm), yielding a relatively transparent suspension.

159



#### 160 2.4. Preparation of CCNF-tannin Hybrid Film

161 A vacuum-filtration method was used to prepare CCNF–tannin biohybrid films ( $80 \text{ g m}^{-2}$ ). (Liu,  
162 Walther, Ikkala, Belova, & Berglund, 2011; Sehaqui, Liu, Zhou, & Berglund, 2010) The CCNF  
163 suspension and tannin dispersion (total solids of 0.353 g in 100 g of deionized water, pH 5.5)  
164 were mixed together using a magnetic stirrer for 10 min. The suspensions with CCNF/tannin  
165 weight ratios of 100:0, 99:1, 95:5 and 90:10 (coded as CCNF100, CCNF99-Tannin1, CCNF95-  
166 Tannin5 and CCNF90-Tannin10, respectively) were vacuum-filtered on a filter membrane with a  
167 pore size of  $0.65 \mu\text{m}$  (Millipore, USA), after which the wet film was vacuum-dried at  $93 \text{ }^\circ\text{C}$  for  
168 10 min.

169

#### 170 2.5. Transmission Electron Microscopy (TEM)

171 The morphological features of the CCNFs were analysed with TEM using a JEOL JEM-2200FS  
172 (JEOL Ltd., Tokyo, Japan). CCNF samples were diluted with deionized water into 0.1% (w/w),  
173 and a tiny droplet ( $7 \mu\text{L}$ ) of polylysine (Marsich et al., 2012) was at first dosed on the top of a  
174 carbon-coated copper grid and allowed to stay for 1 min. The excess polylysine was wiped away  
175 with filter paper. Similarly,  $7 \mu\text{L}$  of the CCNF sample solution were then dropped and removed  
176 from the grid. Finally, a drop of negative stain agent, 2% (w/v) uranyl acetate, was applied using  
177 the same procedure. The stained samples were dried at room temperature and analysed at 100 kV  
178 under standard conditions. Images were taken by a Quemesa CCD camera. The widths of the  
179 individual nanofibrils were measured by iTEM image analysis software (Olympus Soft Imaging  
180 Solutions GMBH, Munster, Germany). The final results were averaged from 50 fibrils, and the  
181 standard errors were calculated.

182

#### 183 2.6. Field Emission Scanning Electron Microscopy (FE-SEM)

184 An FESEM (Zeiss Sigma HD VP, Germany) was employed to study the structural properties of  
185 CCNF–tannin hybrid films in planar and cross-sectional directions using an accelerating voltage  
186 of 5 kV. The cross-sections were captured by snapping the frozen (liquid nitrogen) film strips.  
187 The film sample was fixed to a carbon-coated carrier. Prior to imaging, the specimens were  
188 sputter-coated with platinum (with thickness of 5 nm).

189

#### 190 2.7. UV and Optical Properties

191 Integrating sphere (DRA 2500) based Cary 5000 spectrophotometers (Agilent Technologies, CA, USA)  
192 and Shimadzu UV-2600 spectrophotometers (Kyoto, Japan) were specialized to measure the  
193 transmittances of the hybrid films and tannin solutions (at concentrations of 0.01, 0.1 and 1%),  
194 respectively. According to the ASTM D1003 Standard, (ASTM International, 2006) the optical haze, *i.e.*  
195 the scattering of light as it passes through the biohybrid films and results in poor visibility and/or glare,  
196 was measured and calculated by the equation:

$$\text{Haze} = \left[ \frac{T_4}{T_2} - \frac{T_3}{T_1} \right] \times 100\%, \quad (1)$$

197 where

198  $T_1$  = background checking value,

199  $T_2$  = total transmitted illumination,

200  $T_3$  = beam checking value and

201  $T_4$  = pure diffusive transmittance.

202 The total transmitted illumination,  $T_2$ , includes both specular transmittance and pure diffusive  
 203 transmittance. A wavelength of 550 nm was set for comparison of film transparency.(Zhu, Xiao, et al.,  
 204 2013)

205

## 206 2.8. 2,2-diphenyl-1-picrylhydrazyl (DPPH) radical scavenging activity

207 The antioxidant activity of the hybrid films was determined according to the DPPH radical  
 208 scavenging assay(Byun, Kim, & Whiteside, 2010) method with a slight modification. Briefly, a  
 209 100 mg sample film was mixed with 2 mL of methanol with a magnetic stirrer for 3 h at room  
 210 temperature. The supernatant obtained was applied for DPPH radical scavenging activity. Then,  
 211 2 mL of methanolic solution of DPPH (0.06 mM) were mixed with 500  $\mu$ L of the supernatant.  
 212 The control sample was obtained using 500  $\mu$ L methanol without the sample film. The mixture  
 213 was vortexed at room temperature in the dark for 30 min. The remaining DPPH was measured by  
 214 absorbance at 517 nm with the Shimadzu UV-2600 spectrophotometer. The final DPPH radical  
 215 scavenging activity of the films was calculated by the equation:(Singh & Rajini, 2004)

$$\text{Radical scavenging activity (\%)} = \left(1 - \frac{A_{\text{sample}}}{A_{\text{control}}}\right) \times 100, \quad (2)$$

216 where

217  $A_{\text{sample}}$  = the absorbance of the sample solution and

218  $A_{\text{control}}$  = the absorbance of DPPH solution without the addition of the film.

219 The results were taken as the average of three measurements.

220

## 221 2.9. Thermogravimetric Analysis

222 The TGA of the CCN-tannin hybrid films was carried out in a thermal analyser (STA 449 F3;  
 223 Netzsch, Germany) under two separate atmospheres: the nitrogen flow and the air flow (dynamic  
 224 air), at a constant rate of 60 mL min<sup>-1</sup>. Approximately 5 mg of the room-temperature dried  
 225 sample were carried by aluminium pan and heated from 20 °C to 600 °C with a heating rate at  
 226 10 °C min<sup>-1</sup>. The decomposition temperature ( $T_d$ ) was taken when the temperature at the onset  
 227 point of the weight loss in the TGA curve was obtained.

228

## 229 2.10. Tensile Test

230 The mechanical properties of the CCNF–tannin hybrid films were measured with a universal  
231 material testing machine (D0724587; Zwick, Switzerland), equipped with a 100 N load cell.  
232 Films were kept at constant temperature ( $23\text{ }^{\circ}\text{C} \pm 1\text{ }^{\circ}\text{C}$ ) and humidity ( $50\% \pm 2\%$ ) conditions  
233 during the sample preparation (films were cut into strips with a uniform width of 5 mm) and  
234 were kept in the same controlled environment for over one week prior to testing. The thickness  
235 of each specimen was measured by a precision thickness gauge (FT3; Hanatek Instruments, East  
236 Sussex, UK). Three different locations within the gauge length were measured to calculate the  
237 average thickness of each sample strip. For the tensile tests, a 40 mm gauge length was set at a  
238 strain rate of  $4\text{ mm min}^{-1}$ .

239

## 240 2.11. Statistical Analysis

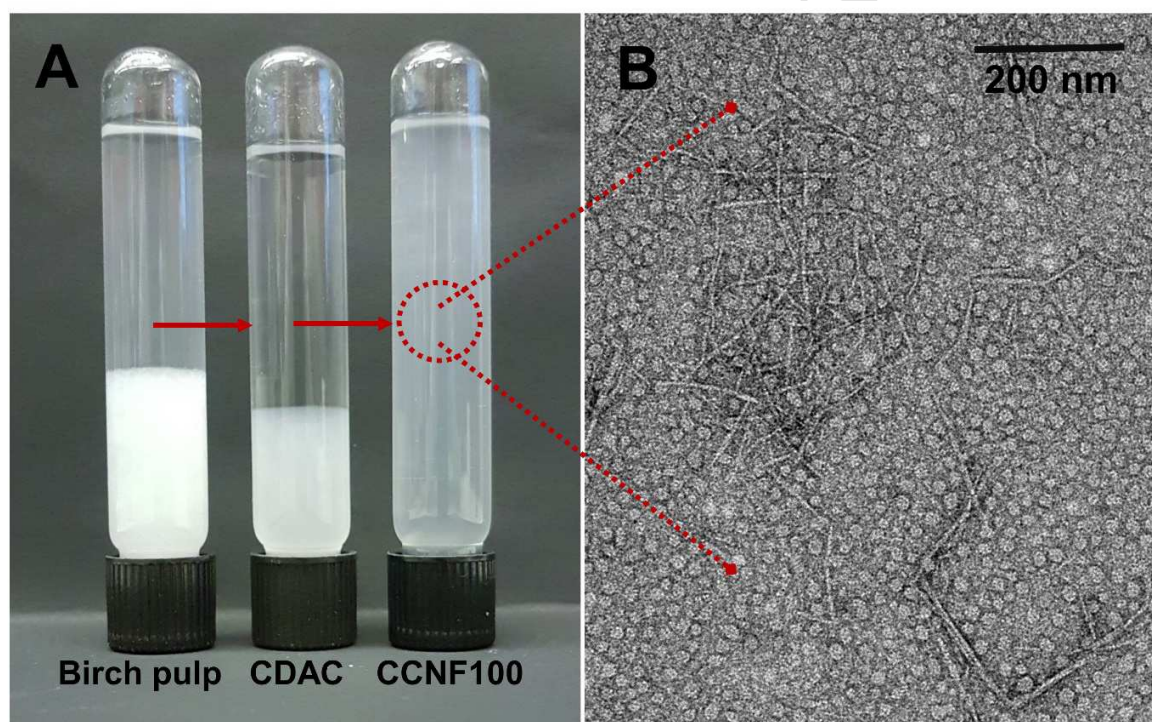
241 Mechanical properties were completed using values from more than five strips in each sample  
242 for tensile strength, maximum strain and Young's modulus. One-way analysis of variance  
243 (ANOVA) was applied with (★)  $p < 0.05$  suggesting a significant difference and with (★★)  $p <$   
244  $0.01$  providing a critical assessment.(Majdzadeh-Ardakani & Sadeghi-Ardakani, 2010)

245 **3. Results and discussion**

246

## 247 3.1. Characteristics of CCNF-tannin Suspensions

248 Biohybrid films of CCNF and tannin were fabricated using a simple vacuum filtration and drying  
249 technique. The CCNF was isolated from birch pulp which was pre-treated into CDAC in the  
250 DES, followed by a mechanical nanofibrillation procedure (Fig. 1A). The average lateral  
251 dimension of individual nanofibrils of CCNF based on TEM imaging was  $4.6 \pm 1.1$  nm, while  
252 the length of nanofibrils was several hundreds of nanometres, indicating a high aspect ratio of  
253 CCNF (Fig. 1B; Fig. S1 in supplementary material). The chemical characteristics of CCNF were  
254 analysed in our previous study.(P. Li et al., 2018) Water-soluble tannin at different ratios to  
255 CCNF (up to 10:90, w/w) were all effectively dispersed into the CCNF solution without  
256 formation of any visible large particles or fibrous aggregates (Fig. S2 in supplementary material).

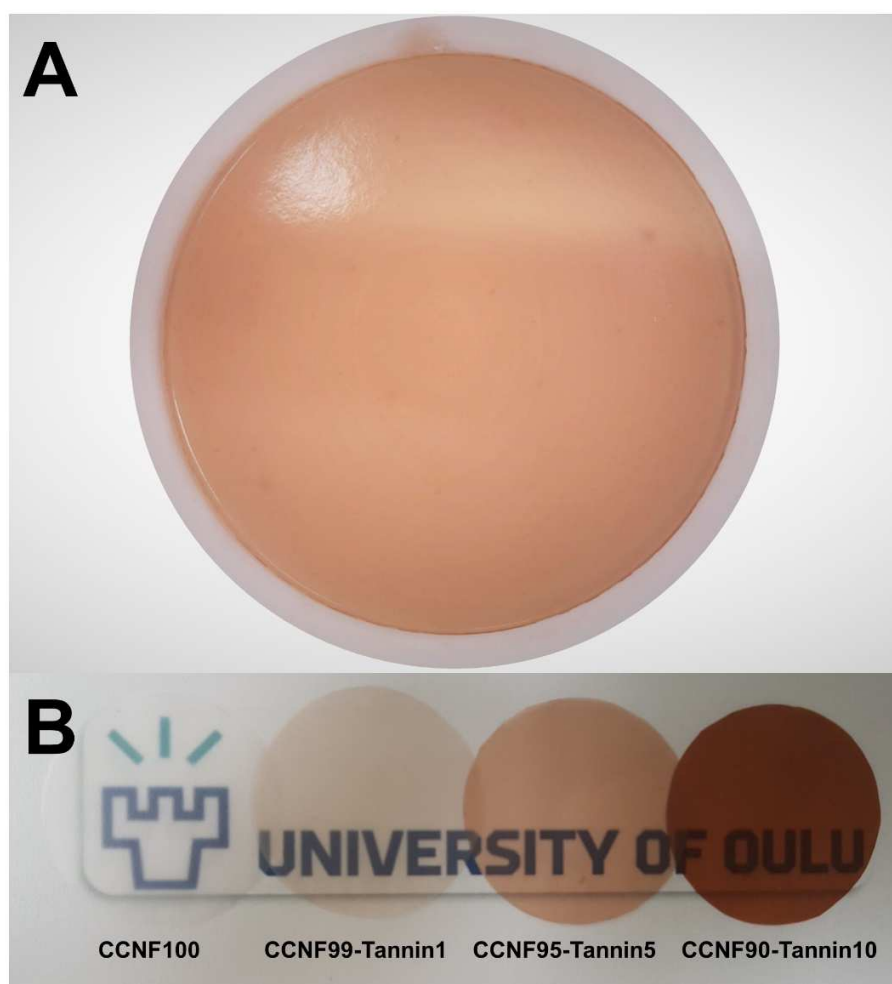


257 **Fig. 1.** Suspension (1%) of birch pulp, cationized cellulose (CDAC) and cationic cellulose  
258 nanofibrils without tannin extract (CCNF100) at room temperature (A), and the TEM image of  
259 CCNF100 (B).  
260

261 Depending on the percentage of tannin, the CCNF-tannin suspension showed a brownish to  
262 reddish colour and formed a cake-like structure after vacuum filtration (Fig. 2A). Nevertheless,  
263 due to high tannin adsorption capacity of CCNF, all the filtered water from CCNF-tannin  
264 suspensions were transparent and colourless. Tannin was distributed in the CCNFs, and all the  
265 dried biohybrid films (with thickness of c.a. 60  $\mu\text{m}$ ) were translucent, as they appear when they  
266 cling to an object (Fig. 2B). The optical properties, including specular transmittance, diffusive

267 transmittance and haze of the hybrid films, were further analysed and are shown in the section  
268 about UV-shielding and optical performance.

269



270

271 **Fig. 2.** Vacuum-filtrated CCNF95-Tannin5 hybrid cake (wet) after filtration (A) and the  
272 translucent CCNF biohybrid films (dry) with different amounts of tannin (B).

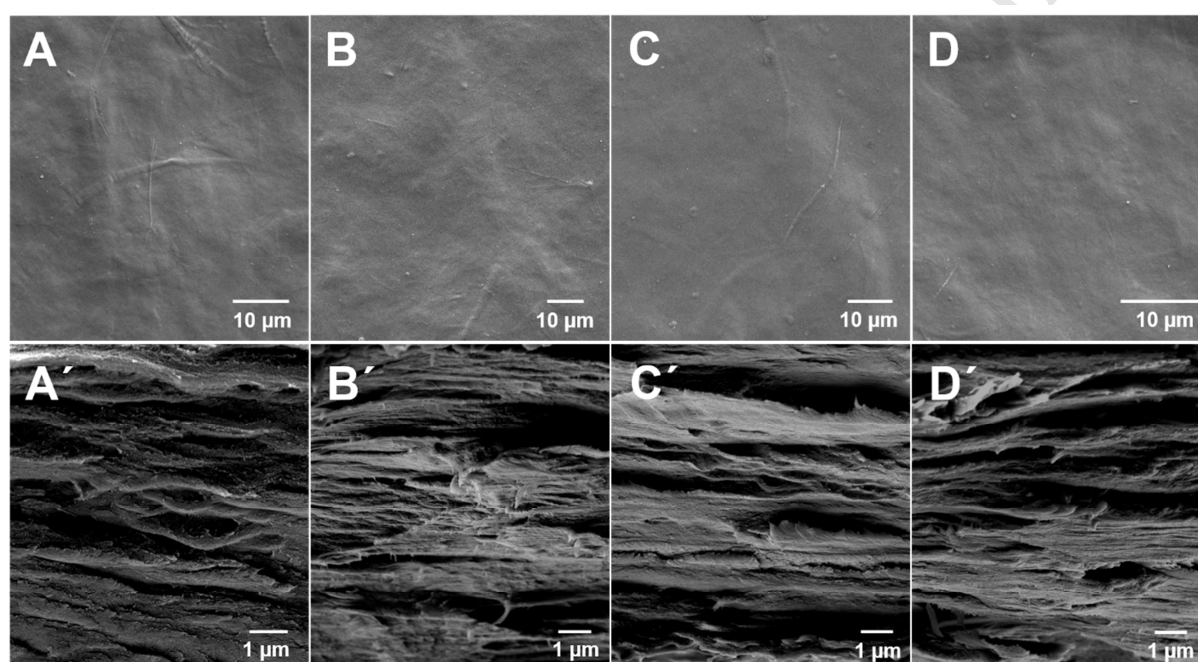
273

### 274 3.2. Morphological Properties of Films

275 Although the visual appearance of all the films were smooth to naked eye and the addition of  
276 tannin extract did not make significant visible differences, FESEM imaging (Fig. 3) still  
277 indicated variances on both the surfaces and the cross-section of the hybrid films. Compared  
278 with pure CCNF film (Fig. 3A), hybrid films contain higher amount of tannin (Fig. 3C-D)  
279 usually showed more flat surfaces yet with less nanofibrils under FESEM random checking. This  
280 was most likely because of tannin extract that was applied as fillers in hybrid film fibrous  
281 networks. In addition, different from previous films that were made from anionic(Selkälä et al.,



282 2016) and non-derivative nanofibrils,(P. Li et al., 2017) lesser amount of big fiber bundles (i.e.  
 283 several micrometres in width) were detected on the CCNF and their hybrid films. Absence of  
 284 fiber aggregates confirmed present CCNFs are fine and homogeneous. It should be noted that  
 285 films that made previously from anionic nanofibrils(Selkälä et al., 2016) and non-derivative  
 286 nanofibrils(P. Li et al., 2017) were easily removed from the filter membrane (0.65  $\mu\text{m}$  PVDF)  
 287 after press-drying (93  $^{\circ}\text{C}$ ), whereas CCNF and its hybrid films tended to stick to the surface of  
 288 filter membrane and thus difficult to be removed completely. This phenomenon was presumably  
 289 associated with adhesion caused by slightly negatively charged filter membrane, which adhered  
 290 on the positively charged surface of CCNF film through electrostatic interaction.



291  
 292 **Fig. 3.** FESEM images on the surface of CCNF100 (A), CCNF99-Tannin1 (B), CCNF95-  
 293 Tannin5 (C) and CCNF90-Tannin10 (D). Cross-sections of CCNF100 (A'), CCNF99-Tannin1  
 294 (B'), CCNF95-Tannin5 (C') and CCNF90-Tannin10 (D').

295 The cross-section images demonstrated that all the films achieved clearly layered structures (Fig.  
 296 3A'-D'), which is similar to previously cellulose nanofibril films(Henriksson, Berglund,  
 297 Isaksson, Lindström, & Nishino, 2008; Y. Luo, Zhang, Li, Liao, & Li, 2014; Mautner, Lucenius,  
 298 Österberg, & Bismarck, 2017) and talc-nanofibril hybrid film(Liimatainen et al., 2013)  
 299 fabricated by other researchers. The introduction of tannin extract to CCNFs (Fig. 3B'-D')  
 300 showed higher amount of thinner individual inner-layers than what pure CCNFs film had (Fig.  
 301 3A'), even though all the film samples had similar thickness as a whole. Besides, very little  
 302 notable particle aggregation was detected in their either the planar or cross-sectional views,  
 303 whereas clear trace of breaking fibres were observed in all the cross sections with larger  
 304 magnification (Fig. S3 in supplementary material). In general, anionic tannin was evenly  
 305 incorporated into the positive-charged CCNF, and these hybrid films achieved flat and multi-  
 306 layered structures.

307

## 308 3.3. UV-shielding and Optical Performance

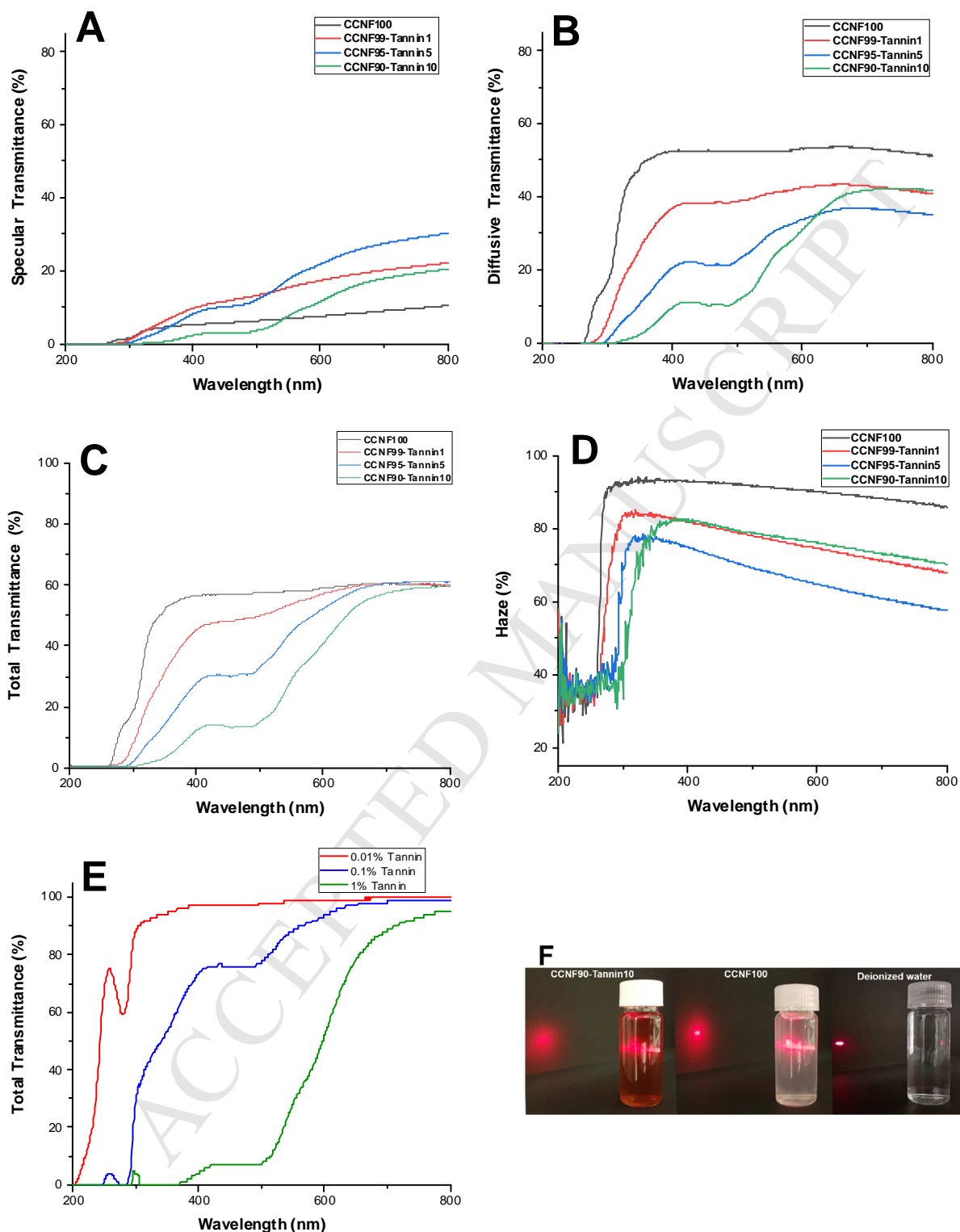
309 Conventional opaque cellulose paper has a strong incident light scattering, because of its porous  
310 structures.(Zhu, Fang, Preston, Li, & Hu, 2014) Nanofibril-based films are, in turn, optically  
311 tailorable because they are built from fine fibril strands, which have small and tuneable light  
312 scattering based on their fibril size, film density, surface smoothness etc.(F. Jiang et al., 2018;  
313 Zhu, Parvinian, et al., 2013) Therefore, hybrid nanofibril films made with different approaches  
314 possess unique optical characteristics, which offer variable options for different applications.  
315 Due to the high content of phenolic units, tannin extract has great potential to be applied as a  
316 UV-absorber.(Northey, Glasser, & Schultz, 2000) To study the UV-shielding ability and the  
317 optical properties, the hybrid films were tested and compared with the pure CCNF film  
318 (thickness of films was ca. 50  $\mu\text{m}$ ) in terms of specular transmittance (Fig. 4A), diffusive  
319 transmittance (Fig. 4B) and total transmittance (Fig. 4C). The specular transmittance detects the  
320 light that transmits along the same axis with the incident light, diffusive transmittance describes  
321 the light that diffuses in other directions than the axis and total transmittance collects all the light  
322 that penetrates the sample.

323 Fig. 4C indicates the clear trend of a UV-shielding property while adding tannin compounds into  
324 pure CCNF film, that is, a higher percentage of introduced polyphenolics leads to a better UV  
325 absorption of CCNF film. Similar results are also shown in Fig. 4E for when tannin extract was  
326 diluted by deionized water into a different consistency. Specifically, CCNF90-Tannin10 had  
327 88% protection of UV-A (320–400 nm) and was able to block almost 100% of UV light below  
328 320 nm (covered UV-B and UV-C ranges), whereas pure CCNF100 had a 40% and 12% of  
329 transmittance at 320 and 280 nm, respectively. Yet, after introducing 1% tannin extract, the film  
330 CCNF99-Tannin1 reduced the transmittance to 19% and 1% at 320 and 280 nm, respectively.  
331 Meanwhile, CCNF95-Tannin5 was able to absorb 92% of UV light when the wavelength was  
332 below 320 nm (Table S1 in supplementary material). In general, CCNF-tannin hybrid films  
333 achieved good UV-shielding ability, which was also comparable with previous lignin-derivate  
334 film.(Sadeghifar et al., 2017)

335 Besides the UV-shielding property, it was notable that the visual appearance of CCNF films was  
336 also greatly affected by the introduction of tannin. For example, pure CCNF had a total  
337 transmittance of 58% (at 550 nm), whereas the transmittance of CCNF99-Tannin1, CCNF95-  
338 Tannin5 and CCNF90-Tannin10 was reduced to 53%, 44% and 29%, respectively. Interestingly,  
339 although the optical transmittance decreased when adding tannin extract, the hybrid films  
340 seemed to be clearer to the naked eye (Fig. 2B). Therefore, we tested both the specular and  
341 diffusion transmittances, and the optical property was reflected as haze, which indicated visual  
342 transmittance for the eye.(Zhu, Parvinian, et al., 2013) It was observed that introduction of tannin  
343 decreased the haziness of the CCNF film. Among the hybrid films, CCNF95-Tannin5 offered the  
344 best optical clarity, whereas CCNF99-Tannin1 and CCNF90-Tannin10 had similar haziness,  
345 although CCNF99-Tannin1 had a higher total transmittance. Hence, the optical performance of  
346 CCNF film was tailorable by the tannin extract dose. Additionally, both CCNF90-Tannin10 and  
347 pure CCNF100 water suspensions (1% w/w) showed a clear Tyndall scattering after keeping  
348 them in a stationary state at 5  $^{\circ}\text{C}$  for one month (Fig. 4F) without any flocculation and  
349 sedimentation observed, indicating a good stability of homogenous CCNF or CCNF-Tannin  
350 mixtures (Fig. S4 in supplementary material).(Carn et al., 2012)

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**Fig. 4.** Specular transmittance (A), diffusive transmittance (B), total transmittance (C) and calculated haze (D) of CCNF and CCNF-tannin hybrid films. The UV-absorbing property of tannin was tested in aqueous suspensions at different concentrations (E). The suspension of

358 CCNF90-Tannin10, CCNF100 and water (stored at 5 °C for one month) were incident through a  
359 horizontal laser (F).

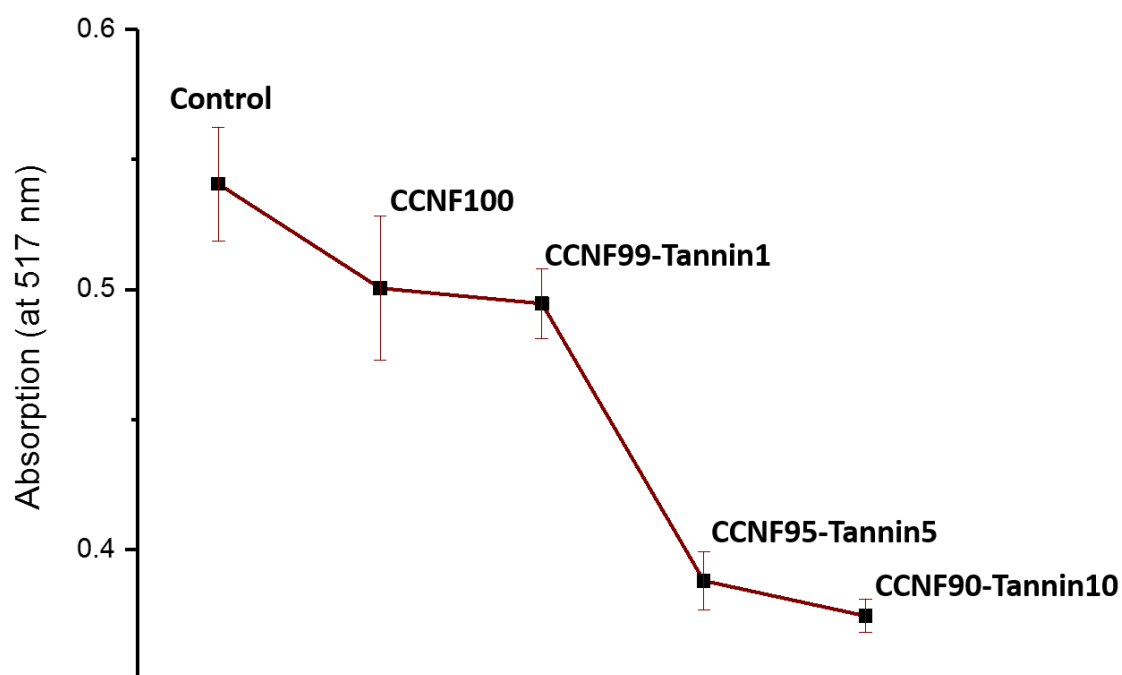
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### 361 3.4. Antioxidant Activity

362 Free radicals, including reactive oxygen species, are capable of damaging biomolecules and  
363 causing a wide range of degenerative diseases.(Halliwell & Gutteridge, 1990) Therefore,  
364 antioxidant and radical scavenging properties are desired in food packaging applications,(Byun  
365 et al., 2010; Gemili, Yemenicioğlu, & Altinkaya, 2010; López de Dicastillo et al., 2011)  
366 biomedical products such as drug deliveries,(Digge, Moon, & Gattani, 2012) anti-aging  
367 cosmetics(Jadoon et al., 2015) and dietetics.(Bhat, Liong, Abdorreza, & Karim, 2013) Natural  
368 antioxidants containing phenolic groups can prevent the impact on oxidants, *e.g.* by donating  
369 hydrogen atoms (reduction) and suppressing the formation of hydroxyl radicals.(Andrade et al.,  
370 2005; I. Gülçin, Mshvildadze, Gepdiremen, & Elias, 2006)

371 The antiradical activity of tannin hybrid CCNF films was investigated by the reduction of DPPH.  
372 In the DPPH assay, the dark-coloured (violet(İ. Gülçin, Huyut, Elmastaş, & Aboul-Enein, 2010))  
373 DPPH radical becomes less coloured DPPH-H by reacting with antioxidants, which is detected  
374 as a decrease in the absorbance at 517 nm.(I. Gülçin, 2007) Fig. 5 shows a gradually improved  
375 DPPH radical scavenging activity with the increase in the amount of tannin. Compared with the  
376 control sample, films of pure CCNF100, CCNF99-Tannin1, CCNF95-Tannin5 and CCNF90-  
377 Tannin10 showed  $7 \pm 5$ ,  $8 \pm 3$ ,  $28 \pm 2$  and  $31 \pm 1\%$  enhanced antiradical activity, respectively.  
378 Similar results were reported previously when tannic acid was tested solely,(İ. Gülçin et al.,  
379 2010) whereas tannic acid was tested with a much higher concentration (15–45 µg/mL).  
380 Although not many noticeable changes occurred with 1% tannin addition, films containing 5%  
381 and 10% of tannin showed a significant decrease ( $p < 0.01$ ) in the concentration of the DPPH  
382 radical due to the effective scavenging ability of polyphenols.(Lopes, Schulman, & Hermes-  
383 Lima, 1999)

384



385

386 **Fig. 5.** UV-absorbance (at 517 nm) of DPPH residual solution. (DPPH solution was reacted with  
 387 CCNF100, CCNF99-Tannin1, CCNF95-Tannin5 and CCNF90-Tannin10, respectively. DPPH  
 388 solution without the addition of the film was set as the control.)

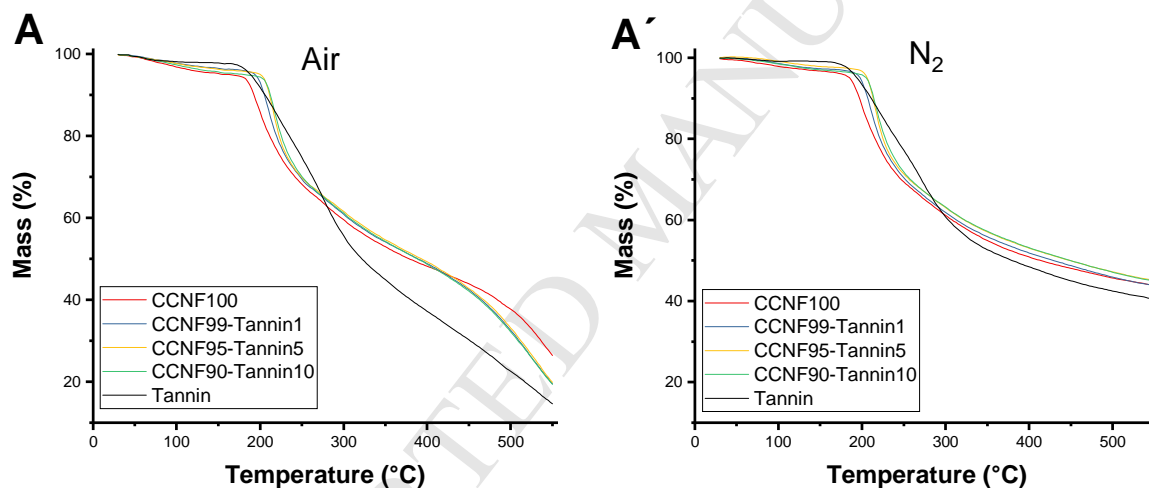
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### 390 3.5. Thermal Stability of Tannin Hybrid Films

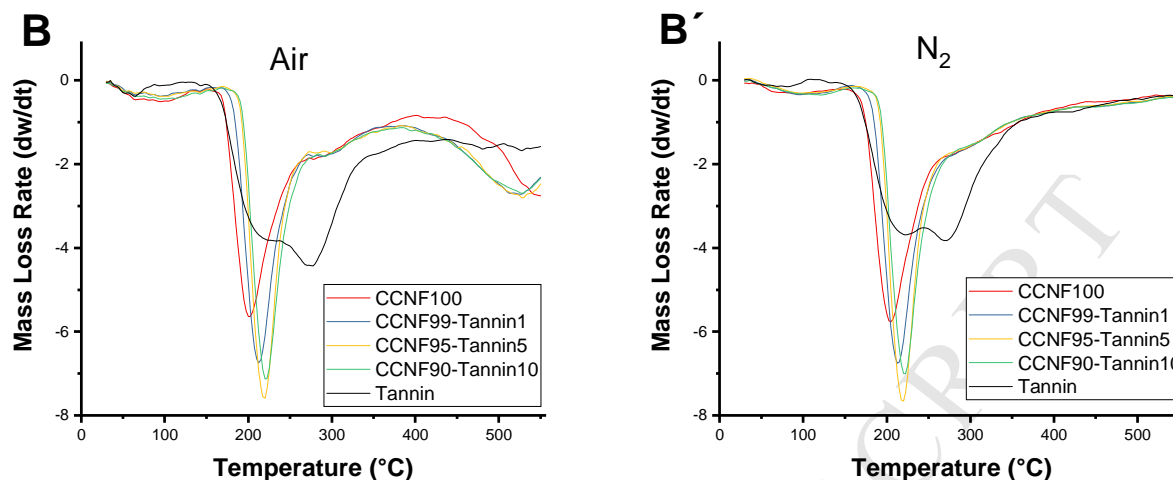
391 Thermal stability tests of the mixtures/hybrids in an emerging temperature profile indicate the  
 392 degree of interaction (elevated energy is required for decomposition of interactions, *e.g.*  
 393 hydrogen bonding in a mixture(Abbott, Capper, & Gray, 2006; Chen et al., 2018)), as well as  
 394 compositional and structural differences among the samples.(Visanko et al., 2017) Tannin hybrid  
 395 films were tested and compared with pure CCNF film under both air and N<sub>2</sub> atmospheres.  
 396 Generally, the introduction of tannin can make CCNF films bear a relative higher decomposition  
 397 temperature under both air and N<sub>2</sub> atmospheres (Fig. 6). Unlike the original birch fibres  
 398 (containing 24.7% hemicelluloses )(Liimatainen et al., 2011) and lignin reserved  
 399 nanopaper(Visanko et al., 2017) that usually have a steep weight loss curve, both pure CCNF100  
 400 and tannin hybrids showed a gentle mass loss step (Fig. 6 A and A'). In addition to the  
 401 evaporation of water, the major weight loss of pure CCNF and tannin started at similar  
 402 temperatures of 167 °C and 170 °C, respectively, which were lower than the onset  
 403 decomposition temperature of original birch fibre ( $T_{\text{Onset}}$  250 °C)(P. Li et al., 2017) under air  
 404 atmosphere. However, it is well known that chemically modified cellulose nanofibres usually  
 405 have a decreased thermal stability when compared to their starting materials.(Eyholzer et al.,  
 406 2010; Fukuzumi et al., 2009; Visanko et al., 2017) The decreased thermal stability of CCNF100  
 407 was mostly due to the chemical hydrolysis of pristine cellulose fibres and the sequentially  
 408 mechanical disintegration procedure.(Dhar, Bhardwaj, Kumar, & Katiyar, 2014; J. Li et al.,  
 409 2012) However, the tannin hybrid films usually obtained improved  $T_{\text{Onset}}$  from 167 °C (of

410 CCNF100) to 185, 192 and 195 °C, respectively (Fig. 6A) as a function of increased tannin  
 411 content. In addition to the  $T_{\text{Onset}}$  comparisons among films, aligned trends were also observed  
 412 from their maximum mass loss rates (Fig. 6 B), which verifies the formation of hybrid materials  
 413 by electrostatic attraction between cationic cellulose and anionic tannin, therefore resulting in an  
 414 enhanced thermal stability.

415 Under  $N_2$  atmosphere, films exhibited similar thermal stability compared to air, yet a higher  
 416 amount of residuals were left because of the inhibited process of oxidation (Fig. 6 A' and B'). It  
 417 was notable that all the CCNF films were able to maintain over 50% of total mass at 400 °C;  
 418 however, conventional non-derivative cellulose fibres (*e.g.* birch pulp(P. Li et al., 2017) and  
 419 softwood dissolving cellulose(Selkälä et al., 2016)), anionic modified cellulose nanofibrils (*e.g.*  
 420 via succinylation(Selkälä et al., 2016) and TEMPO-oxidation(Fukuzumi et al., 2009) ) and  
 421 lignin-reserved fibres (*e.g.* ground wood pulp and wood nanofibre(Visanko et al., 2017)) had less  
 422 than 40% residuals. Thus, this thermal behaviour in an inert atmosphere might make CCNF-  
 423 tannin hybrid material to be utilized as advanced carbonized materials in several  
 424 applications.(Cao et al., 2016; Ruan, Wang, Lindh, & Strømme, 2018)



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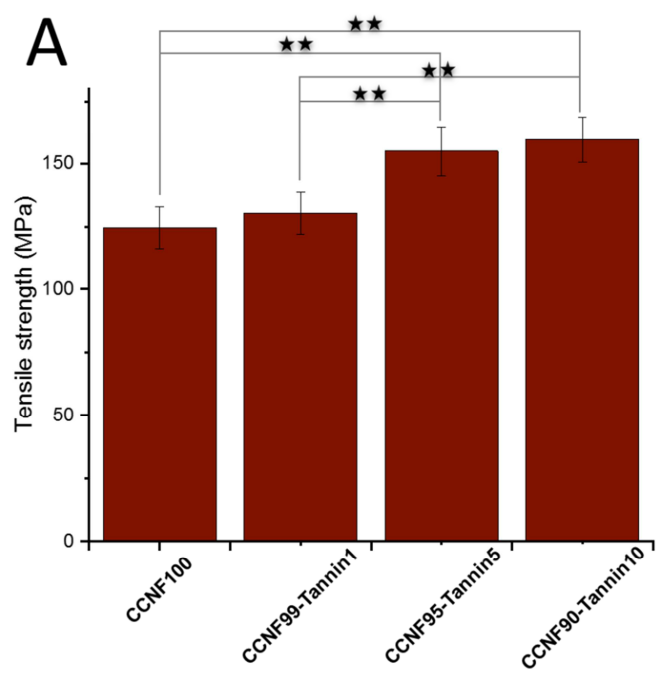
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427 **Fig. 6.** TGA curve of the hybrid film samples under air (A) and N<sub>2</sub> (A') atmospheres,  
428 respectively, with their first derivate curves of the TGA (DTG) under air (B) and under N<sub>2</sub> (B').

### 429 3.6. Mechanical Characteristics of Nanofibril Films

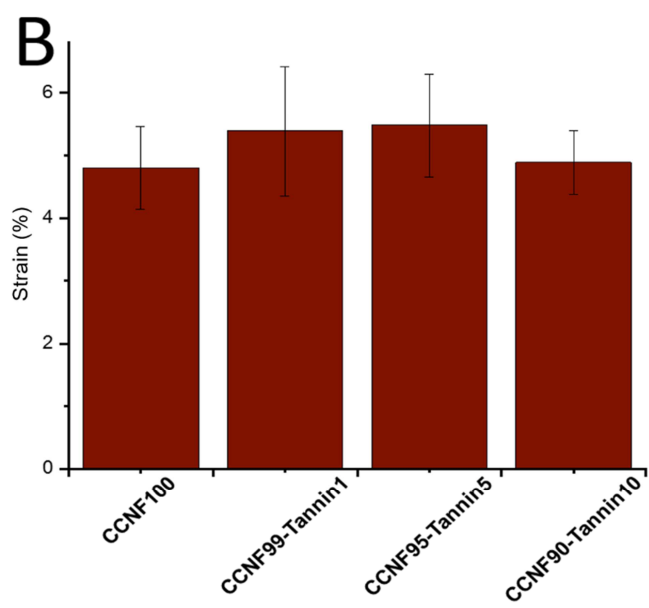
430 The mechanical properties of the films are crucial to different applications.(Cordero, Yoon, &  
431 Suo, 2007) Hydrogen bonding based cellulose nanopapers have typically adjustable stress–strain  
432 curves when extra components are added, for example, as crosslinkers,(Özkan, Borghei,  
433 Karakoç, Rojas, & Paltakari, 2018) plasticizers(Azeredo et al., 2010) and grafting agents.(Soeta,  
434 Fujisawa, Saito, Berglund, & Isogai, 2015) Unlike many previous works in which the tensile  
435 strength and modulus of a nanopaper are reduced linearly with the increase of the additives, such  
436 as minerals, Fig. 7 indicates a positive effect on mechanical properties when tannin was  
437 introduced to CCNF films.(Liimatainen et al., 2013) Compared to the pure CCNF100 with a  
438 tensile strength of  $124 \pm 8$  MPa, CCNF99-Tannin1, CCNF95-Tannin5 and CCNF90-Tannin10  
439 achieved tensile strength of  $130 \pm 8$ ,  $155 \pm 9$  and  $160 \pm 9$  MPa, respectively. The results from  
440 ANOVA analysis confirmed significant a difference when 5% or 10% (w/w) of tannin was added  
441 to the CCNF, whereas only a small improvement was obtained with CCNF99-Tannin1.  
442 However, all the tannin hybrid films obtained similar strains with that of pristine CCNF100  
443 ( $4.8\% \pm 0.6\%$ ), which was in line with previously reported sepiolite hybrid nanopaper(Campo et  
444 al., n.d.), yet was higher than lignin-containing nanopaper (3.5% at maximum).(Visanko et al.,  
445 2017) In addition, Young's modulus of the tannin hybrid nanopapers (average improvement of  
446 ca. 20%) was comparable with previously reported chemically modified (*e.g.* TEMPO-oxidized  
447 nanopaper with 6–7 GPa)(Isogai, Saito, & Fukuzumi, 2011b) and non-derivatizing nanopaper  
448 (*e.g.* DES pre-treated nanopaper with ca. 7 GPa).(P. Li et al., 2017)

449 Previously, hybrid films made from positively charged CNF and negatively charged muscovite  
450 mica (up to 25% by weight) also had improvement in tensile strength and Young's modulus  
451 (without noticeable changes in strain) compared to the pure reference film.(Thao T. T. Ho et al.,  
452 2012) However, non-charged CNF film was reported to have a ca. 20% decrease in tensile  
453 strength after the addition of tannin, because tannin can partially prohibit the hydrogen bonds  
454 between the nanofibrils.(Missio et al., 2018) Herein, the mechanical features of CCNF matrices  
455 were significantly improved by incorporation of tannin through good electrostatic attraction.

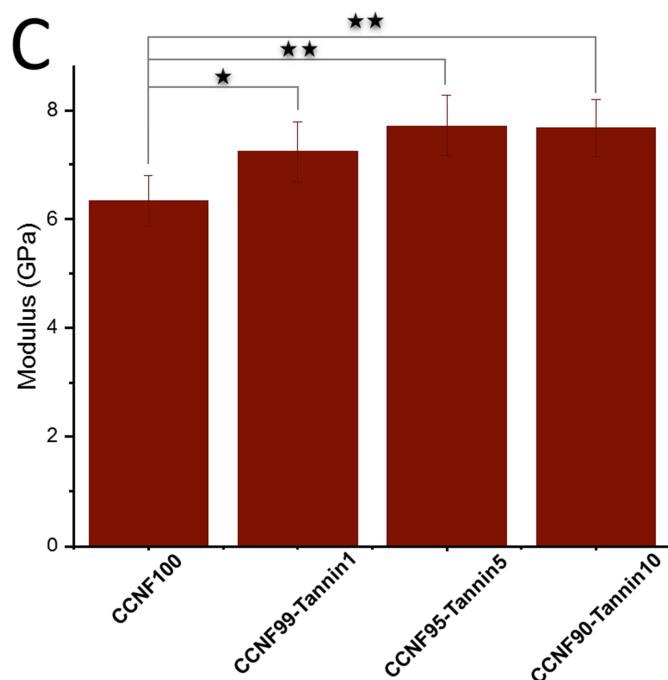
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 460 **Fig. 7.** Tensile strength (A), strain (B) and Young's modulus (C) of hybrid films. Data presented  
 461 as mean  $\pm$  standard error and analysed using a one-way ANOVA; (\*)  $p < 0.05$ ; (\*\*)  $p < 0.01$ ;  
 462 otherwise, with no statistical significance.

463

#### 464 4. Conclusion

465 Functional biohybrid nanofilm was fabricated from cationic cellulose nanofibrils and  
 466 natural anionic Quebracho tannin extract through good electrostatic attraction, no  
 467 additional force was applied to bind tannin into CCNF. In a water solution, the mixtures  
 468 of CCNFs and tannin were stable, without the forming of flocculation; in a dry form, the  
 469 hybrid films were homogeneous, with a gradually shaded colour. All the tested properties  
 470 of hybrid films were tailorable with the ratio between CCNFs and tannin, and the addition  
 471 of tannin could enhance the functionalities of CCNF films comprehensively. The results  
 472 suggest that introduction of 5% (w/w) tannin extract would be sufficient for CCNF films  
 473 to achieve improved properties in UV-shielding, anti-oxidant characteristics, thermal  
 474 decomposition and tensile strength. In addition, tannin extract was also able to reduce  
 475 optical haziness. Hence, inexpensive and nature-based tannin compounds may offer a  
 476 greener route to the production of bio-based multi-functional materials.  
 477

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481



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486 **Author Contributions**

487 The manuscript was written through contributions of all authors. All authors have given approval  
488 to the final version of the manuscript.

489 **Notes**

490 The authors declare no competing financial interest.

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# Anti-oxidative and UV-absorbing Biohybrid Film of Cellulose Nanofibrils and Tannin Extract

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**Highlights:**

- Biodegradable films were made from natural tannin extract and cellulose
- Functionalities including anti-oxidative and UV-absorbing were applied to pristine film
- Mechanical strength and thermal stability of biohybrid film were enhanced by tannin extract
- Electrostatic attractions and self-assembly lead to formation of biohybrid film