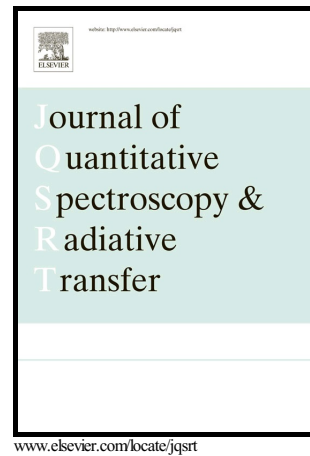


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Modeling uncertainties in estimation of canopy LAI from hyperspectral remote sensing data – a Bayesian approach

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Abstract

Hyperspectral remote sensing data carry information on the leaf area index (LAI) of forests, and thus in principle, LAI can be estimated based on the data by inverting a forest reflectance model. However, LAI is usually not the only unknown in a reflectance model; especially, the leaf spectral albedo and understory reflectance are also not known. If the uncertainties of these parameters are not accounted for, the inversion of a forest reflectance model can lead to biased estimates for LAI. In this paper, we study the effects of reflectance model uncertainties on LAI estimates, and further, investigate whether the LAI estimates could recover from these uncertainties with the aid of Bayesian inference. In the proposed approach, the unknown leaf albedo and understory reflectance are estimated simultaneously with LAI from hyperspectral remote sensing data. The feasibility of the approach is tested with numerical simulation studies. The results show that in the presence of unknown parameters, the Bayesian LAI estimates which account for the model uncertainties outperform the conventional estimates that are based on biased model parameters. Moreover, the results demonstrate that the Bayesian inference can also provide feasible measures for the uncertainty of the estimated LAI.

Keywords: leaf area index, spectral invariants, photon recollision probability, reflectance model, uncertainty quantification

1. Introduction

New satellite missions with enhanced spectral resolution (e.g. Sentinel-2, EnMAP) will soon produce extensive coverage of our planet. More efficient methods to handle and interpret environmental information from the large data volumes are urgently needed. So far, applications of hyperspectral remote sensing (also known as imaging spectroscopy) have concentrated on monitoring biochemical properties or functioning of vegeta-

tion. However, the added value of these data in estimating also structural variables of forest canopies has not been widely demonstrated. In remote sensing of forest structure, hyperspectral data have mainly been used in the form of narrowband vegetation indices (VI), so that the information content of only a few spectral bands is used to estimate a structural characteristic of the canopy (e.g. [1, 2]). VI based approach also exhibit problems such as significant site-, species- and time specificity (e.g. [3–5]), and do not account for the physical rela-

20 tionship between the forest structure and the observa-
21 tions.

22 Inversion of physically-based forest reflectance mod-
23 els may offer a solution to using the full information
24 content, and not only selected bands, of hyperspectral
25 data sets. The on-going growth in the availability of
26 hyperspectral remote sensing data sets has indeed in-
27 creased the use of physically-based modeling [6], and
28 new interpretations for links between canopy structure
29 and detailed spectral features have been proposed (e.g.
30 [7]). However, forest reflectance models usually con-
31 tain many other unknown variables besides the variable
32 of primary interest; for example, forest background (or
33 understory reflectance) and leaf spectral properties vary
34 significantly even in the same biome. In addition, the
35 effect of forest structural parameters, for example leaf
36 area index (LAI), on reflected radiation is usually non-
37 linear and saturates in very dense canopies. Combined,
38 these two characteristics make the inversion of a for-
39 est reflectance model an under-determined and ill-posed
40 problem [8, 9]. The complex nonlinear relationship
41 between the leaf area index and the forest reflectance
42 makes the estimation of LAI sensitive to uncertainties
43 in the other model parameters. Thus, using fixed values
44 in the model inversion will most likely result in unreli-
45 able estimates of forest structure. A methodology which
46 makes it possible to take into account the uncertainty in
47 these variables is needed.

48 Bayesian inference (e.g. [10]) offers a coherent, yet
49 flexible framework for handling model uncertainties in
50 parameter estimation problems. In Bayesian approach,
51 uncertainties are modeled statistically. Also the param-
52 eters of primary interest (such as the LAI in the present
53 application) are modeled as random variables, allowing
54 the use of *a priori* information on the parameters. The

55 solution of a Bayesian inference problem is the posterior
56 distribution, i.e., the conditional probability distribution
57 of the unknown parameter given the measurement data.
58 The Bayesian approach has been previously used in re-
59 mote sensing of forest structural parameters, for exam-
60 ple, from multispectral MODIS data by Zhang *et al.*
61 [11]. In this paper, the prior information consisted of
62 constraints for the model unknowns, i.e., the parameters
63 were assumed to be uniformly distributed over feasible
64 intervals. However, more feasible prior information on
65 the statistics of the input parameters of reflectance mod-
66 els is often available. Moreover, studies on the effect of
67 the parameter uncertainties in the LAI estimates and the
68 feasibility of the Bayesian approach to recover from the
69 errors caused by such uncertainties have not yet been
70 reported.

71 The present work focuses on estimating LAI of forest
72 canopies using hyperspectral data. A set of numerical
73 simulations is carried out to study the effect of unknown
74 reflectance model parameters to conventional LAI esti-
75 mates which use fixed model parameters. Further, we
76 study whether the LAI estimates could recover from er-
77 rors caused by unknown reflectance model parameters,
78 when a Bayesian approach is taken. In the Bayesian
79 inference, informative, data-based prior models for the
80 reflectance model parameters are written. In addition to
81 evaluating Bayesian point estimates for LAI, the feasi-
82 bility of Bayesian uncertainty estimates is investigated;
83 in particular, we study how well the Bayesian credible
84 intervals represent the uncertainty of the estimated LAI.

2. Materials and methods

2.1. Forest reflectance model

In this work, forest spectra (i.e. hyperspectral measurements) are modeled using the PARAS forest reflectance model [12] which is based on the concept of photon recollision probability. The PARAS model has the advantage of containing relatively few independent variables and performing well in boreal forests [12]. The bidirectional reflectance factor (BRF) of a forest, $r(\theta_1, \theta_2, \lambda)$, for a given solar zenith angle θ_1 , viewing zenith angle θ_2 , and wavelength λ , is modeled as: [12]

$$r(\theta_1, \theta_2, \lambda) = \rho_g(\theta_1, \theta_2, \lambda)t_c(\theta_1)t_c(\theta_2) + f(\theta_1, \theta_2, \lambda)i_c(\theta_1)\frac{\omega_L(\lambda) - p\omega_L(\lambda)}{1 - p\omega_L(\lambda)}, \quad (1)$$

where ρ_g is the BRF of the understory layer, t_c is the tree canopy transmittance, $i_c = 1 - t_c$ the tree canopy interceptance, f the canopy upward scattering phase function and ω_L the leaf single scattering albedo. The photon recollision probability p is used in the model to describe the aggregated structure of forest canopies. It is the probability that a photon, after having survived an interaction with a canopy element, will interact with the canopy again.

The first term in Equation (1) describes the part of radiation that has penetrated the tree layer canopy and reflected upwards through the tree canopy after interacting with the understory layer. The second term models the radiation that has hit the tree canopy and scattered in the viewing angle. Even though the model ignores multiple interactions between the tree and understory layers, it has simulated reflectance factors similar to those obtained from satellite images [12]. If the model were to be used in snow conditions, i.e. with a highly reflecting background, modifications would be needed [13].

In this study, the following assumptions and approximations are made in parameterizing the PARAS model. We assume that LAI is related to the effective leaf area index (LAI_{eff} , commonly measured by e.g. the LAI-2000 Plant Canopy Analyzer) through a species-specific shoot clumping factor β so that $\text{LAI}_{\text{eff}} = \beta\text{LAI}$. Factor β , in turn, is related to the shoot silhouette-to-total-area ratio (STAR) as $\beta = 4\text{STAR}$.

The photon recollision probability p is approximated according to [14] as

$$p = 1 - \frac{1 - t_d}{\text{LAI}} = 1 - \frac{\beta(1 - t_d)}{\text{LAI}_{\text{eff}}}, \quad (2)$$

where t_d is the diffuse transmittance for the tree canopy layer. The canopy transmittance is modeled using Beer-Lambert's law as

$$t_c(\theta) = \exp\left(-\frac{\beta \text{LAI}_{\text{eff}}}{2 \cos \theta}\right), \quad (3)$$

from which the diffuse canopy transmittance t_d in equation (2) is calculated following [13]:

$$t_d = 2 \int_0^{\frac{\pi}{2}} t_c(\theta) \cos(\theta) \sin(\theta) d\theta. \quad (4)$$

The upward scattering phase function $f(\theta_1, \theta_2, \lambda)$ is approximated using the proportion of upward scattered radiation Q as [15]

$$f(\theta_1, \theta_2, \lambda) \approx Q = \frac{1}{2} + \frac{q}{2} \frac{1 - p\omega_L}{1 - pq\omega_L}, \quad (5)$$

where q is a wavelength independent semi-empirical scattering asymmetry parameter. Parameter q describes the decrease in probability of the photon escaping the canopy with increasing scattering order, in other words, it models how photon escape probability decreases as the photon scatters deeper inside the canopy. Thus q is related to canopy density and increases with LAI (Table 2 in [15]).

2.1.1. Wavelength dependence

Leaf albedo ω_L and understory reflectance ρ_g are wavelength dependent parameters. Thus, in the model, ω_L and ρ_g are vectors of the same length as the satellite-measured data vector. To reduce the number of unknown variables in the inverse problem, we utilize known features of the vegetation spectra: The (green) vegetation spectra have a typical shape which features strong correlations between reflectance parameters corresponding to certain wavelengths and discrete jumps across other wavelength intervals. (For further discussion and references to experimental works on determining the vegetation spectra, see Section 2.2.2). This enables the use of reduced order parametric representations for ω_L and ρ_g . More specifically, we use cubic monotone Hermite splines to represent the spectral variables using 27 manually chosen node points that are illustrated in Figure 1. The cubic monotone Hermite spline is monotone between the node points and thus the curve can change direction only on a node. By placing the node points on the typical peaks and troughs of the vegetation spectrum, with additional control nodes in between, the spline representation can follow the typical shape of the spectrum with sufficient accuracy. Figure 1 also shows an example of how the spline representation follows an original spectrum. Using the spline, the variables ω_L and ρ_g are rewritten as

$$\omega_L = S(\lambda; \tilde{\lambda}, \tilde{\omega}_L), \quad (6)$$

$$\rho_g = S(\lambda; \tilde{\lambda}, \tilde{\rho}_g), \quad (7)$$

where $S(\cdot)$ is the spline function (piecewise polynomial), $\tilde{\lambda} \in \mathbb{R}^{27}$ is a vector consisting of wavelengths corresponding to the spline nodes, and $\tilde{\omega}_L \in \mathbb{R}^{27}$ and $\tilde{\rho}_g \in \mathbb{R}^{27}$, respectively, are the values of ω_L and ρ_g at the node points $\tilde{\lambda}$. Because $\tilde{\lambda}$ is fixed, the spline ap-

proximations (6) and (7) are fully determined by $\tilde{\omega}_L$ and $\tilde{\rho}_g$, respectively. Thus, using the spline approximations, the low-dimensional vectors $\tilde{\omega}_L$ and $\tilde{\rho}_g$ are substituted for full-length ω_L and ρ_g as variables in the reflectance model.

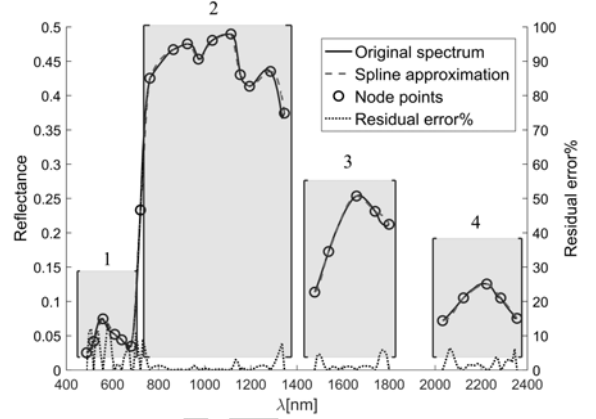


Figure 1: Spline approximation of a vegetation spectrum (synthetic understory reflectance) of 150 spectral bands, the original spectrum is shown with a solid line, the spline approximation with dashed line and the node points of the spline approximation with circles. The relative error between the approximation and the spectrum is shown with a dotted line. The figure also shows the division of the spectrum to correlated parts.

2.2. Bayesian inversion

Let us denote the vector of satellite measured bidirectional reflectances on the $N_\lambda = 150$ spectral bands by $r \in \mathbb{R}^{150}$ and the vector of unknown variables by $x = \left[\text{LAI}_{\text{eff}} \quad \tilde{\omega}_L^T \quad \tilde{\rho}_g^T \quad \beta \right]^T \in \mathbb{R}^{56}$. In the following, the problem of estimating the unknown model parameters x from the satellite measurements r is formulated as a problem of Bayesian inference. In a Bayesian setting, both the measurements r and the model unknowns x are modeled as random variables.

Let the parameters x have a prior probability density $\pi(x)$, which contains the available information on x before the reflectance measurements have been done. In

192 Bayesian inference, the prior density is then updated
193 with the information gained from the measurements by
194 using the Bayes theorem

$$\pi(x|r) = \frac{\pi(r|x)\pi(x)}{\pi(r)} \propto \pi(r|x)\pi(x), \quad (8)$$

195 where $\pi(r|x)$ the likelihood function containing the in-
196 formation from the measurements, and $\pi(x|r)$ is the pos-
197 terior density for the unknowns x , i.e., the conditional
198 probability density of x given the measurements r . The
199 posterior density $\pi(x|r)$ is the full solution of a Bayesian
200 inverse problem; Section 2.2.3 discusses the exploration
201 of the posterior density with an MCMC method, i.e.,
202 finding useful point and spread estimates (such as pos-
203 terior mean and credibility intervals) for x . The term
204 $\pi(r)$ in Eq. (8) can be thought of as a normalizing con-
205 stant.

206 2.2.1. The likelihood function

207 The likelihood function $\pi(r|x)$ in theorem (8) is de-
208 rived from the measurement model. Here, we model the
209 measurements r as

$$r = h(x) + e, \quad (9)$$

210 where $h(x)$ is the PARAS model (1), including the ap-
211 proximations (2), (5), (6), and (7), and $e \in \mathbb{R}^{150}$ is an ad-
212 ditive error term. The error e describes the discrepancy
213 between the PARAS model output and the measured r
214 and contains both the model error and the measurement
215 noise.

216 In the case of the additive error model (9), the likeli-
217 hood function $\pi(r|x)$ gets the form

$$\pi(r|x) = \pi_e(r - h(x)), \quad (10)$$

218 where $\pi_e(\cdot)$ is the density function of e . Here e is mod-
219 eled as a multivariate normal distributed random vari-
220 able with a zero mean and a covariance matrix Γ_e , and

221 hence, the likelihood function is

$$\pi(r|x) \propto \exp\left(-\frac{1}{2}(r - h(x))^T \Gamma_e^{-1}(r - h(x))\right). \quad (11)$$

222 The error e is modeled as uncorrelated, with standard
223 deviation of 10% of the data r in each band.

224 2.2.2. The prior density

225 The prior density $\pi(x)$ is a critical part of the Bayesian
226 approach. In this work, separate prior densities for
227 LAI_{eff}, $\tilde{\omega}_L$, $\tilde{\rho}_g$ and β are constructed. Uniform densi-
228 ties are used as priors for the scalar variables LAI_{eff} and
229 β . For the spectral variables $\tilde{\omega}_L$ and $\tilde{\rho}_g$, Gaussian ap-
230 proximations are build based on empirical data that have
231 been presented in the literature. The complete prior den-
232 sity $\pi(x)$ is finally formed by combining the variable-
233 specific prior densities under the assumption of mutual
234 statistical independence between LAI_{eff}, $\tilde{\omega}_L$, $\tilde{\rho}_g$ and β .

235 The effective LAI is by definition non-negative; also
236 exceedingly large values of LAI are absent in a typical
237 forest. As a prior distribution for LAI_{eff} we use a uni-
238 form distribution in the interval [0, 10]:

$$\pi(\text{LAI}_{\text{eff}}) = \begin{cases} \frac{1}{10}, & 0 \leq \text{LAI}_{\text{eff}} \leq 10 \\ 0, & \text{otherwise.} \end{cases} \quad (12)$$

239 Leaf albedo (ω_L) measurements for the three most
240 common tree species in Finnish boreal forest (Scots
241 pine, Norway spruce, and birch species) were reported
242 by Lukeš *et al.* [16]. In our prior construction, the aver-
243 age of these species-specific albedos is used as the prior
244 expected value for the node-point leaf albedo $\tilde{\omega}_L$, de-
245 noted with $\mu_{\tilde{\omega}_L}$. Peltoniemi *et al.* [17] presented re-
246 flectance measurements (BRF) of several common un-
247 derstorey types. The average of these measurements is
248 used as the prior expected value for node-point under-
249 storey reflectance $\tilde{\rho}_g$, denoted with $\mu_{\tilde{\rho}_g}$. Note here that

250 the reported $\tilde{\omega}_L$ and $\tilde{\rho}_g$ are averaged only over the tree
 251 species, not over the wavelength, and hence $\mu_{\tilde{\omega}_L}$ and $\mu_{\tilde{\rho}_g}$
 252 are vectors consisting of the average leaf albedos and
 253 understory reflectances corresponding to 27 wavelengths
 254 $\tilde{\lambda}$. For both $\tilde{\omega}_L$ and $\tilde{\rho}_g$ the prior standard deviation was
 255 set to 20% of the expected value. This amount of vari-
 256 ance was found to allow adequate range of possible $\tilde{\rho}_g$
 257 and $\tilde{\omega}_L$ values while still constraining the solution space
 258 sufficiently. The prior expected value and 95% credi-
 259 ble intervals for ω_L and ρ_g are shown in Figure 2. The
 260 figure also includes the spectral data [16, 17] used for
 261 constructing the corresponding prior densities.

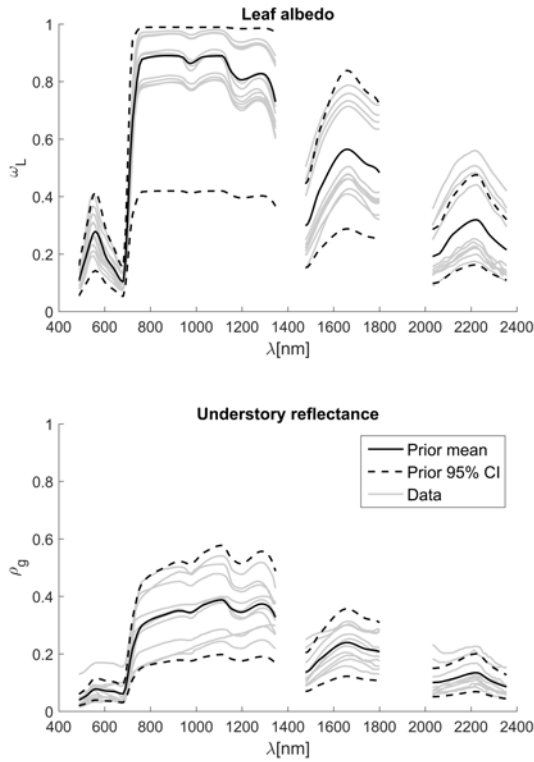


Figure 2: The expected values and 95% credible intervals for the prior densities of ω_L (top) and ρ_g (bottom), and the data used for constructing the priors.

262 The vegetation spectra have strong spectral correla-
 263 tion structure which is utilized in the prior. The model

264 for the correlation structure of both ω_L and ρ_g is written
 265 as follows: First, an uncorrelated Gaussian noise compo-
 266 nent is written to model the independent variations
 267 of the values of ω_L and ρ_g at the node points (i.e. ele-
 268 ments of $\tilde{\omega}_L$ and $\tilde{\rho}_g$). Secondly, the measured band is
 269 divided into four non-overlapping parts (Figure 1), and
 270 the node points within each part are taken to be mutu-
 271 ally strongly correlated. Thirdly, the background varia-
 272 tion in the spectra is modeled with an additional correla-
 273 tion shared by all the nodes. The four parts in Figure 1
 274 were chosen to reduce the correlation over the red edge
 275 between parts 1 & 2, and the water absorption bands be-
 276 tween parts 2 & 3 and 3 & 4. This makes the prior fit
 277 better to different canopy and understory species com-
 278 positions.

279 The associated prior correlation matrix R is thus

$$R = \kappa_{\text{ind.}} \frac{I}{27 \times 27} + \kappa_{\text{part}} R_{\text{part}} + \kappa_{\text{all}} \frac{\mathbf{1}}{27 \times 27}, \quad (13)$$

s.t. $\kappa_{\text{ind.}} + \kappa_{\text{part}} + \kappa_{\text{all}} = 1,$

280 where $\kappa_{\text{ind.}}$ is the strength coefficient of uncorrelated-
 281 ness, κ_{part} is the strength coefficient of correlation within
 282 the four band parts shown in Figure 1, κ_{all} is the strength
 283 coefficient of background correlation, I is identity ma-
 284 trix, $\mathbf{1}$ is a matrix consisting of ones, sizes of the matri-
 285 ces are denoted under the symbols, and finally

$$R_{\text{part}} = \begin{bmatrix} \mathbf{1}_{6 \times 6} & 0 & 0 & 0 & 0 \\ 0 & I_{1 \times 1} & 0 & 0 & 0 \\ 0 & 0 & \mathbf{1}_{10 \times 10} & 0 & 0 \\ 0 & 0 & 0 & \mathbf{1}_{5 \times 5} & 0 \\ 0 & 0 & 0 & 0 & \mathbf{1}_{5 \times 5} \end{bmatrix}. \quad (14)$$

286 In this study we use the values $\kappa_{\text{ind.}} = 0.3$, $\kappa_{\text{part}} = 0.4$,
 287 $\kappa_{\text{all}} = 0.3$.

288 Using the correlation matrix R , the prior covariance

matrices for $\tilde{\omega}_L$ and $\tilde{\rho}_g$ are then respectively

$$\Gamma_{\tilde{\omega}_L} = S_{\tilde{\omega}_L} R S_{\tilde{\omega}_L} \quad (15)$$

$$\Gamma_{\tilde{\rho}_g} = S_{\tilde{\rho}_g} R S_{\tilde{\rho}_g}, \quad (16)$$

where $S_{\tilde{\omega}_L}$ and $S_{\tilde{\rho}_g}$ are diagonal matrices that contain the prior standard deviations of $\tilde{\omega}_L$ and $\tilde{\rho}_g$ on their main diagonal. With the expected values and the covariance matrices, the Gaussian prior densities for $\tilde{\omega}_L$ and $\tilde{\rho}_g$, constrained to the range $[0, 1]$, are

$$\pi(\tilde{\omega}_L) \propto \begin{cases} \exp\left(-\frac{1}{2}(\tilde{\omega}_L - \mu_{\tilde{\omega}_L})^T \Gamma_{\tilde{\omega}_L}^{-1} (\tilde{\omega}_L - \mu_{\tilde{\omega}_L})\right), & 0 \leq \tilde{\omega}_L \leq 1 \\ 0, & \text{otherwise,} \end{cases} \quad (17)$$

$$\pi(\tilde{\rho}_g) \propto \begin{cases} \exp\left(-\frac{1}{2}(\tilde{\rho}_g - \mu_{\tilde{\rho}_g})^T \Gamma_{\tilde{\rho}_g}^{-1} (\tilde{\rho}_g - \mu_{\tilde{\rho}_g})\right), & 0 \leq \tilde{\rho}_g \leq 1 \\ 0, & \text{otherwise.} \end{cases} \quad (18)$$

Due to the monotonicity of the chosen spline representations (6) and (7), constraining only the node points to the physically possible range $[0, 1]$ is sufficient to keep the spectral variables ω_L and ρ_g in that range everywhere.

The shoot clumping parameter β for the coniferous species varies between 0.4 and 0.6 [18]. For broadleaved species, $\beta = 1$ by definition. Defining β for mixed canopies is problematic. For the sake of practicality it is assumed that there is an effective canopy-wide β that describes the average shoot clumping effect. We take this to be the weighted average of species-specific β 's. For β we use a uniform prior on the interval $[0.4, 1]$

$$\pi(\beta) = \begin{cases} \frac{5}{3}, & 0.4 \leq \beta \leq 1 \\ 0, & \text{otherwise.} \end{cases} \quad (19)$$

It would be possible to model also the correlations between the variables LAI_{eff} , β , $\tilde{\omega}_L$ and $\tilde{\rho}_g$. However,

quantified information on these correlations is scarce.

Therefore it is approximated that these variables are mutually independent. With this approximation, the resulting prior density for x is

$$\pi(x) = \pi(\text{LAI}_{\text{eff}}) \pi(\tilde{\omega}_L) \pi(\tilde{\rho}_g) \pi(\beta). \quad (20)$$

2.2.3. The posterior density and estimates

Substitution of equations (11) and (20) to the Bayes' theorem (8) gives out the posterior density $\pi(x|r)$. The posterior density is used for computing point and interval estimates for the variables x . In this study, the posterior mean is used as the point estimate for x . As an interval estimate, 95% credible intervals are computed.

A 95% credible interval for variable $x_i \in \mathbb{R}$ is an interval $[a, b]$ that satisfies

$$\int_a^b \pi(x_i|r) dx_i = 0.95, \quad (21)$$

where $\pi(x_i|r)$ is the posterior marginal density of the variable x_i . Note that here x_i is a single element of the parameter vector x , such as the effective LAI or leaf albedo on a single band. Equation (21) has no unique solution: in this study the interval is chosen such that the probability mass below and above the interval $[a, b]$ is equal.

Computation of the posterior mean and credible intervals requires integration over the posterior density. This can be accomplished numerically using for example Markov chain Monte Carlo (MCMC) methods (e.g. [19]). In MCMC methods, a random walk is used to draw samples from the underlying distribution and these samples are then used to approximate statistics of the distribution.

As the MCMC method, we use the delayed rejection adaptive Metropolis (DRAM) algorithm [20]. The DRAM algorithm is formulated as follows. Denote a

342 Gaussian proposal distribution by $q(y; \lambda, C)$, where μ is 368
 343 the expected value and C is the covariance matrix. This 369
 344 distribution is used to generate the next proposed state 370
 345 in the random walk. 371

346 1. Initialization: Choose a point $x^{(0)}$ to be the start 372
 347 state of the random walk and choose an initial pro- 373
 348 posal covariance matrix C . 374

349 2. Metropolis step, do for each iteration i :

350 (a) Sample a candidate $y^{(i)}$ from the proposal 375
 351 distribution $q(y; x^{(i-1)}, C)$ (the Gaussian pro- 376
 352 posal distribution is now centered on the pre- 377
 353 vious state $x^{(i-1)}$). 378

(b) Calculate acceptance ratio:

$$\alpha_1 = \frac{\pi(y^{(i)}|r)}{\pi(x^{(i-1)}|r)}.$$

354 (c) Accept the new candidate $y^{(i)}$ with probability 381
 355 $\min\{1, \alpha_1\}$. If accepted, set $x^{(i)} = y^{(i)}$. 382

356 3. Delayed rejection step, do if the candidate $y^{(i)}$ was 383
 357 rejected: 384

358 (a) Sample a new candidate $\eta^{(i)}$ from the second 385
 359 level proposal distribution $q(\eta; x^{(i-1)}, \gamma C)$, 386
 360 where γ is a scaling factor. 387

(b) Calculate

$$\alpha_{12} = \frac{\pi(\eta^{(i)}|r)}{\pi(y^{(i)}|r)}$$

(c) Calculate the second level acceptance ratio: 390

$$\alpha_2 = \frac{\pi(y^{(i)}|r)q(\eta^{(i)}; y^{(i)}, C)(1 - \alpha_{12})}{\pi(x^{(i-1)}|r)q(\eta^{(i)}; x^{(i-1)}, C)(1 - \alpha_1)}.$$

361 (d) Accept the new candidate $\eta^{(i)}$ with probabil- 393
 362 ity $\min\{1, \alpha_2\}$. If accepted, set $x^{(i)} = \eta^{(i)}$, oth- 394
 363 erwise keep the previous state and set $x^{(i)} =$ 395
 364 $x^{(i-1)}$. 396

365 4. Adaptation, do every k th iteration: Compute a new 397
 366 proposal covariance $C = s\text{Cov}(x^{(0)}, \dots, x^{(i)}) + s\epsilon I$, 398
 367 where $\text{Cov}(x^{(0)}, \dots, x^{(i)})$ is the sample covariance 399

of the states $x^{(0)}, \dots, x^{(i)}$, s is a scale parameter, I
 is an identity matrix and ϵ is a small positive con-
 stant. The $s\epsilon I$ term ensures that the new proposal
 covariance is nonsingular.

5. Run until $i = N + B$, where N is the desired num-
 ber of samples and B is the length of the burn-in
 period. Discard the first B states $x^{(0)}, \dots, x^{(B)}$.

375 If the steps 3 and 4 are omitted from the above algo-
 376 rithm, it reduces to the standard Metropolis algorithm.
 377 The delayed rejection and adaptation steps make the al-
 378 gorithm more efficient than the standard Metropolis and
 379 make the method more robust against poorly chosen ini-
 380 tial proposal covariance.

381 In this paper, a total of $N = 600000$ Monte Carlo
 382 samples are computed using 12 parallel random walks
 383 of 50000 samples each. The length of the burn-in period
 384 is chosen to be 5000 samples. In the delayed rejection
 385 step of the DRAM algorithm, covariance scaling factor
 386 of $\gamma = 0.1$ is used. The adaptation step in DRAM is
 387 done after every $k = 200$ iterations, with parameters
 388 $\epsilon = 10^{-5}$ and $s = 2.4/\sqrt{56}$.

389 2.3. Simulation studies

390 In this study, the effect of unknown reflectance model
 391 parameters on the LAI estimates is investigated using
 392 synthetic hyperspectral remote sensing (i.e. forest spec-
 393 tral) data. Synthetic data is used for the sake of valida-
 394 tion: while the parameters LAI, β , ω_L and ρ_g are labo-
 395 rious to measure on field, the simulation studies allow
 396 for comparison of the estimates with the true values.
 397 However, care must be taken in analyzing the results,
 398 because when using simulated data, not all model inac-
 399 curacies are accounted for.

2.3.1. Simulated stands

A total of 500 random synthetic boreal forest stands were generated and the forest reflectance was simulated using the PARAS model. The simulated spectra consist of 150 spectral bands emulating the EO-1 Hyperion instrument. First, the dominant tree species (pine, spruce or broadleaved) was chosen with uniform probability. The proportion of the dominant species in the species mixture was sampled uniformly from the interval 50%–100%; the remainder was then randomly divided between the two minority species. The composition of the understory layer was then sampled to roughly emulate the typical species composition of a Finnish boreal forest with the chosen dominant tree species, that is, the understory of broadleaved stands contains mostly grasses and some dwarf shrubs, spruce dominated stands have mosses and bilberry, and pine stands have mosses, lingonberry, heather and lichens. Ranges of the understory components are presented in Table 1.

Table 1: Understory composition of the simulated forest stands.

Species	Pine	Spruce	Broadleaved
Mosses	0 – 50%	40 – 100%	0 – 30%
Bilberry	n/a	0 – 50%	0 – 30%
Lingonberry	0 – 100%	n/a	n/a
Heather	0 – 100%	n/a	n/a
Lichens	0 – 100%	n/a	n/a
Grasses	n/a	n/a	30 – 100%
Soil	0 – 10%	0 – 10%	0 – 10%

The leaf area index was chosen randomly from the uniform distribution $\mathcal{U}(0, 5)$. The leaf albedo ω_L and understory reflectance ρ_g were formed as a linear combination of the experimental values presented in [16] and [17], respectively, according to the sampled species fractions of both the tree layer and the understory. Fi-

nally, the shoot clumping factor was sampled based on the tree species combination, with deciduous tree fraction contributing $\beta = 1$, spruce $\beta \sim \mathcal{N}(0.5, 0.05^2)$ and pine $\beta \sim \mathcal{N}(0.6, 0.05^2)$.

After all the input parameters were sampled, the PARAS model was used to simulate the forest reflectance. Gaussian random noise with standard deviation of 10% of the reflectance on each band was added to the modelled reflectance. The variance of this simulated radiometric noise was somewhat higher than in most real instruments to compensate for the lack of systematic errors in the simulated data.

2.3.2. Maximum likelihood estimates

The conventional approach to model based estimation of LAI_{eff} is to invert the reflectance model corresponding to parameters ω_L , ρ_g and β that are fixed to some *a priori* defined values. We studied the tolerance of such LAI_{eff} estimate to misspecification of the parameters ω_L , ρ_g and β . More specifically, we considered conventional maximum likelihood (ML) estimates, obtained by maximizing the likelihood function (11) with respect to LAI_{eff} .

For each of the 500 study stands, the ML estimate was computed using two choices of parameters ω_L , ρ_g and β : 1) In the first ML estimate, the true parameter values in the corresponding study stand were used. This choice is of course unrealistic, since these parameters are practically always unknown. 2) In the second set of ML estimates, parameters ω_L , ρ_g and β were fixed to their average values over the ensemble of simulated study stand test, i.e., to their population means. The latter estimate can be considered as a solution corresponding to the best realistically available approximation for the parameter values, and is expected to exhibit estima-

tion error that is caused by the misspecification of the parameters.

The one-dimensional optimization problem (maximizing (11) with respect to LAI_{eff}) was solved by brute force to 0.1% accuracy, to ensure that the resulting estimate was the global maximum (due to the nonlinearity, the likelihood has multiple local maxima in some cases). For computational reasons, the range of LAI_{eff} was constrained to $[0, 10]$.

2.3.3. Bayesian estimates and reference methods

Next, the capability of the Bayesian approach to tackle to problem of unknown model parameters ω_L, ρ_g and β was studied. In the Bayesian inference, $\text{LAI}_{\text{eff}}, \omega_L, \rho_g$ and β were simultaneously estimated from the reflection data, as described in Section 2.2.

The Bayesian estimates were compared with two reference methods: 1) The ML estimates of LAI_{eff} corresponding to parameters ω_L, ρ_g and β fixed to their population means (see Section 2.3.2), and 2) empirical linear regression with a narrow-band vegetation index (VI).

We compared our new Bayesian approach with a typical empirical vegetation index using two narrow spectral bands. As there are a wide range of spectral indices in applied in hyperspectral remote sensing of vegetation, we selected the simple ratio water index (SRWI) which has recently been reported as the best performing index for estimating LAI_{eff} in our biome of interest, i.e. the boreal forests [2]. The SRWI is defined as

$$\text{SRWI} = \frac{r_{854}}{r_{1235}}. \quad (22)$$

To construct the the empirical regression model, first, a separate set of 100 random stands were simulated and the SRWI was calculated for each stand. Ordinary linear regression was then performed between LAI_{eff} and

SRWI in the training set. The regression model was then used to estimate LAI_{eff} for each of the 500 study stands.

We note that as the empirical VI regression estimate does not rely on a reflectance model, it does not require specifying the model parameters ω_L, ρ_g and β . However, the uncertainty of these parameters does have an implicit effect on the accuracy of the VI regression based LAI_{eff} estimates: variation of these parameters in the training set obfuscates the correlation between the spectral reflectance data r and LAI_{eff} .

2.3.4. Effect of prior model on Bayesian estimate

We also studied the effect of the prior model on the Bayesian estimate. Hence, in addition to computing the Bayesian estimate corresponding to data based, informative prior models described in Section 2.2, the Bayesian estimate was also computed using uniform priors for all the parameters. The uniform priors simply constrain LAI_{eff} to the range $[0, 10]$, ω_L and ρ_g to the range $[0, 1]$ and β to $[0.4, 1]$. This estimate corresponds to one introduced by Zhang *et al.* [11].

3. Results and discussion

3.1. Sensitivity of the maximum likelihood estimate to model uncertainties

The results of studying the sensitivity of the ML estimate to model uncertainties is illustrated in Figure 3. When the true values of ω_L, ρ_g and β are used in the reflectance model, the estimated LAI_{eff} are very close to their true values in almost every study stand (Figure 3, left). Only a few significantly erroneous estimates are present – those estimates probably correspond to large realizations of observation noise. Moreover, the scatter

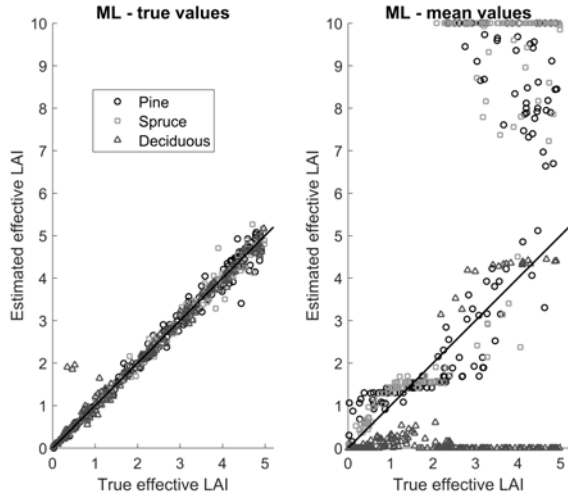


Figure 3: Estimated LAI_{eff} vs. true LAI_{eff} ML estimates corresponding to models with correct values of ω_L , ρ_g and β (left) and their population mean values (right). Pine dominated stands are marked with circles, spruce dominated with squares and deciduous with triangles.

plot shows a slight increase of the estimation error with increasing LAI_{eff} ; this is caused by saturation of the forest reflectance: with the increase of LAI, the sensitivity of the reflectance measurements to a change in LAI decreases.

The ML estimates corresponding to the reflectance model with misspecified parameters ω_L , ρ_g and β (Figure 3, right) feature large errors. In particular, ML estimates are zero for several cases where the canopy is dense in reality, and on the other hand, several ML estimates are equal to 10 in cases where the true value of LAI_{eff} is between 2 and 5. In total, 28% of the ML estimates are above the maximum simulated LAI value of 5. We note that accumulation of the ML estimates to values 0 and 10 is a result of bounding these estimates to the interval $[0, 10]$ – without these constraints, many of the estimates would be even more biased.

The root mean square errors (RMSE) and biases of

the two ML estimates are shown in Table 2. The comparison of the errors confirms the observation made based on Figure 3: the use of the approximate choices of parameters ω_L , ρ_g and β leads, on average, to large errors in the LAI_{eff} estimates.

The results demonstrate that ML estimates are highly intolerant to misspecification of parameters in the reflectance model. This intolerance is associated with ill-posedness of the inverse problem spanned by the reflectance model – small/moderate errors in the data or model can cause large errors in the estimates. Hence, although only ML estimates were considered in this study, caution should be taken in the interpretation of any model based LAI estimate which does not take into account the uncertainty of the model parameters.

Table 2: RMSE, relative RMSE and bias of effective LAI estimates for the Bayesian posterior mean estimates, the reference empirical VI regression and maximum likelihood estimates.

	RMSE	RMSE%	bias
ML estimate			
- correct model	0.19	7.81	0.0013
- approximate model	3.41	137.78	0.91
Posterior mean			
- informative prior	0.61	24.62	-0.0002
- uniform prior	1.14	45.88	-0.17
VI regression	1.10	44.36	0.11

3.2. Performance of the Bayesian estimates

In this section, we discuss the performance of the Bayesian estimate with informative, data based priors. First, the full Bayesian solutions – including not only the point estimates but also credible intervals of the model unknowns – are illustrated with two example cases: one with low LAI (Section 3.2.1) and one with high LAI (Section 3.2.2). Comparison between the Bayesian estimates and the reference methods is

564 made. Finally, the performance of these estimates is
 565 rated based on the statistics of the results correspond-
 566 ing to the set of 500 study stands (Section 3.2.3).

567 3.2.1. Example 1: low LAI case

568 The first example stand is a spruce dominated stand
 569 with a low leaf area index of $LAI_{\text{eff}} = 0.42$. The spec-
 570 tra of ω_L and ρ_g (the ‘simulated true values’) are de-
 571 picted in Figure 4. The figure also illustrates the the
 572 prior marginal densities of ω_L and ρ_g , and the (fixed)
 573 spectra of ω_L and ρ_g used in the ML estimate of LAI_{eff}
 574 (see Section 2.3.1) for comparison.

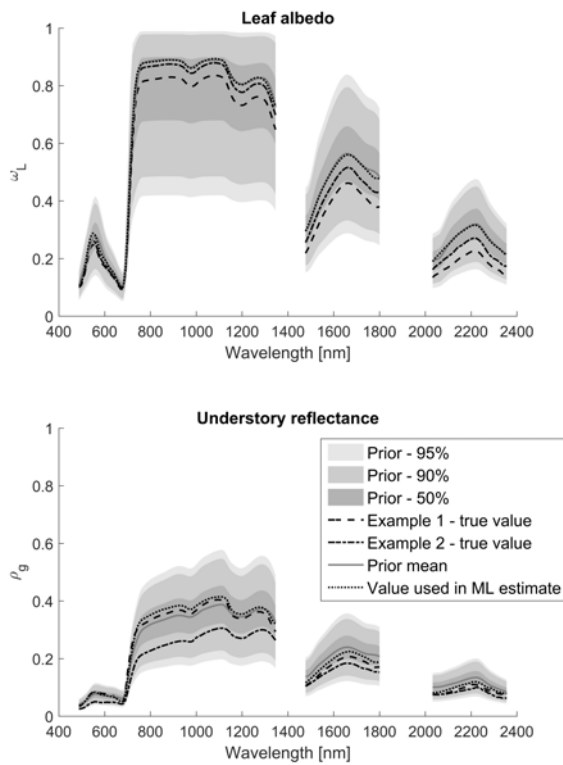


Figure 4: Prior marginal densities and prior expected values of leaf albedo and understory reflectance. The figure also contains the true values of ω_L and ρ_g of the examples 1 and 2, and the assumed values of ω_L and ρ_g used in computing the ML estimates.

575 The results of Example 1 are illustrated in Figure 5.
 576 The top image of Figure 5 represents the Bayesian es-
 577 timates for the effective LAI corresponding to the in-
 578 formative priors; the posterior mean estimate is marked
 579 with a circle, and the 95% credible interval is shaded
 580 with gray. The true simulated value of the effective LAI
 581 is marked in the figure with a cross.

582 The Bayesian posterior mean estimate for LAI_{eff} is
 583 0.74, and hence, somewhat overestimates the true value
 584 $LAI_{\text{eff}}=0.42$. On the other hand, the 95% (posterior)
 585 credible interval is $[0.41, 1.07]$, i.e., the true value 0.42
 586 lays inside this interval. It is notable that in this example
 587 case the 95% credible interval is significantly narrower
 588 than the *a priori* range $[0, 10]$ for LAI_{eff} .

589 Posterior marginals for the leaf albedo ω_L and under-
 590 story reflectance ρ_g as function of wavelength are illus-
 591 trated in the center and bottom of Figure 5 respectively.
 592 In the case of low LAI the posterior 95% CI covers the
 593 true value of ω_L throughout the range (Figure 5, center).
 594 However, the posterior of ω_L is wide, nearly as wide as
 595 the prior density in some wavelengths, implying high
 596 uncertainty for the estimated values of ω_L . This is an
 597 expected outcome: In the case of low LAI, the reflect-
 598 ing surface area of the leaves is small, and the contribu-
 599 tion of ω_L to the reflectance measurements is relatively
 600 low, i.e., the sensitivity of the measurements to ω_L is
 601 low, and consequently, ω_L remains uncertain after the
 602 inference from the data.

603 The posterior density of ρ_g (Figure 5, bottom), on the
 604 other hand, is rather narrow. This is again an expected
 605 result: In the case of low LAI, the understory has a large
 606 effect on the measured reflectance, and in contrary to
 607 ω_L , the measurements are sensitive to ρ_g .

608 The ML estimate for the effective LAI is marked in
 609 Figure 5 (top) with symbol ‘ Δ ’. In the case of low LAI,

the ML estimate for LAI_{eff} is 0.66. Thus, the ML estimate is relatively close to the true value (0.42), even slightly more accurate than the Bayesian posterior mean estimate. We note that in this example case, the true spectra of ω_L and ρ_g were relatively close to the corresponding values assumed when computing the ML, and hence, the effect of uncertainties of this parameters in the LAI_{eff} estimates is minor.

The VI regression estimate is marked in Figure 5 (top) with symbol ‘ ∇ ’. In the low LAI case, the VI regression estimate equals to 1.70, and is thus clearly worse than the model-based estimates.

3.2.2. Example 2: high LAI case

In Example 2, LAI_{eff} was 4.87 and the stand was dominated by pine. The results of this example case are shown in the Figure 6. The Bayesian CM estimate equals to 4.56, and is thus rather close to the true value. In this case the posterior density of LAI_{eff} (Figure 6, top), is significantly wider than in Example 1 (Figure 5, top), implying that on high LAI stands, the estimate for LAI_{eff} has larger uncertainty. This stems from the saturation of the forest reflectance mentioned in Section 3.1: when the canopy gets very dense, the sensitivity of the reflectance measurements to a change in canopy LAI gets low. Note also that in both example cases, the posterior density of LAI_{eff} is skewed to the left; this is another indication of the higher uncertainty of the large LAI values caused by the saturation effect.

The posterior marginals for the leaf albedo ω_L and understory reflectance ρ_g in Example 2 are shown in the center and bottom of Figure 6, respectively. In this case, the posterior density of ω_L is very narrow, indicating a high credibility for the estimated ω_L . On the other hand, the posterior density of ρ_g is wide in Example 2, indi-

cating high posterior uncertainty of ρ_g . These are again an intuitive results: While in the low LAI case, the sensitivity of reflectance measurements to ω_L is poor, leading to high posterior uncertainty of ω_L , in the high LAI case, the measured forest reflectance results nearly entirely from canopy scattering, with almost no understory contribution, leading to high credibility of the estimated ω_L and high uncertainty of ρ_g .

In Example 2, the ML estimate for LAI_{eff} (‘ Δ ’ in Figure 6, top) was 8.44, which is a heavily overestimated value. This error is again related to the saturation of the forest reflectance with high LAI. It is notable, that the ML estimate for LAI_{eff} is poor even though the error in the variable ω_L behind the ML estimate is rather low (Figure 4). However, there is significant error in ρ_g and some error in β ($\beta = 0.71$ in the ML estimate vs. true value of 0.65).

In this example case, the VI regression estimate (represented by ‘ ∇ ’ in Figure 6, top) was 4.07, i.e., slightly closer to the true value than in Example 1. This, however, does not mean that the VI regression estimates get generally better when LAI increases; in contrast, the set of simulations in the next section demonstrate that the overall accuracy of the VI regression estimates decreases with the increase of LAI.

3.2.3. Performance of the estimates over a set of 500 study stands

The performance of the Bayesian posterior mean estimates and the VI regression estimates is illustrated in Figure 7, showing a scatter plot of the estimated LAI_{eff} versus the true value of LAI_{eff} corresponding to each estimation method.

Generally, the Bayesian posterior mean estimates us-

ing the informative prior yield quite reliable estimates: In the entire range $[0, 5]$ of LAI_{eff} , these estimates possess only small/moderate errors, except for a few outliers (Figure 7, top left). Especially, in some dense pine dominated stands the LAI_{eff} is largely overestimated, and in a few deciduous stands the LAI_{eff} is underestimated. Overall, the error increases with increasing LAI – as expected, due to saturation effect discussed above.

The comparison of the scatter plots of the Bayesian estimates in Figure 7 (top left) and the ML estimates in Figure 3 shows that the Bayesian estimates are not as accurate as the ML estimates corresponding to models with correct parameters ω_L , ρ_g and β (Figure 3, left). However, the Bayesian estimates clearly outperform the ML estimates corresponding to parameters ω_L , ρ_g and β fixed to their population means (Figure 3, right). This observation is verified by the statistics of the estimates shown in Table 2: The RMSE of the Bayesian estimate is larger than the RMSE of the ML estimate with the correct parameters but significantly smaller than that of the ML estimate with the approximate parameters. The bias is, in fact, smaller than either of the ML estimates.

The results support the feasibility of the Bayesian approach to inversion of the reflectance model: Although the accuracy of the estimates decreases from the ideal case where the parameters are known, the Bayesian estimates tolerate the uncertainties of the reflectance model significantly better than ML estimates using approximate values for the parameters.

The scatter plot of the VI regression estimates is drawn in Figure 7 (top right). This plot shows significantly larger variation from the true LAI_{eff} than the Bayesian CM estimates corresponding the informative prior, and in the small values of LAI_{eff} (especially for $0 \leq LAI_{\text{eff}} \leq 1$), the VI regression estimates clearly

feature a large positive bias. Table 2 reveals that the VI regression estimates are clearly less reliable than the Bayesian posterior mean estimates using the informative prior, but more accurate than the ML estimates using approximate parameters.

3.3. Effect of prior model and uncertainty quantification

The scatter plot of the Bayesian posterior mean estimate using the uniform prior is shown in Figure 7 (bottom). This plot and the statistics in Table 2 indicate that the accuracy of this estimate is in the same level as the accuracy of the VI regression estimate, i.e., the Bayesian estimates using the uniform prior are clearly more erroneous than those corresponding to the informative prior. Especially the overestimation of LAI_{eff} in dense pine dominated stands and the underestimation of LAI_{eff} in deciduous stands is significantly larger when uniform prior is used. This result suggests that the construction of the informative prior models for the parameters ω_L and ρ_g is advantageous over simply constraining these parameters.

Table 3 shows the RMSE% and the bias for the estimates of LAI_{eff} , ω_L , ρ_g and β based on Bayesian approach. The results are represented for both Bayesian estimates: those corresponding to uniform priors and those with the informative priors.

In cases of both prior models, the RMSEs of LAI_{eff} and ω_L have little variation with respect to the majority species. For ρ_g the RMSE and bias of spruce-dominated stands are significantly better, which results from the fact that the expected true ρ_g of spruce-dominated stands is closest to the prior mean for ρ_g . The direction of the bias for the pine and deciduous stands

745 points towards the prior mean. Another notable aspect 779
 746 is the relatively large overestimation of β on the spruce 780
 747 stands, which goes hand in hand with the overestimation 781
 748 of LAI_{eff} on spruce-dominated stands. The results of the 782
 749 table indicate that when using the informative prior, the 783
 750 estimation accuracy is generally fairly good. When us- 784
 751 ing the uniform prior, the performance is consistently 785
 752 worse. 786

753 In addition to evaluating the point estimates, we also 787
 754 investigated the feasibility of the Bayesian estimates to 788
 755 quantify the (posterior) uncertainty of the model un- 789
 756 knowns. For this purpose, we computed the coverage 790
 757 percentages of 95% credible intervals (CI%) for LAI_{eff} , 791
 758 ω_L , ρ_g and β ; this statistic is defined as the percentage of 792
 759 stands on which the true parameter value lies within the 793
 760 computed 95% credible interval (Equation (21)). The 794
 761 ideal value of the CI% would be 95%. 795

762 When using the informative priors, CI% of the effec-
 763 tive LAI for the whole set of stands is 82.40%, which
 764 indicates a slight underestimation of the uncertainty of
 765 LAI_{eff} . For the other parameters, the CI% is close to
 766 95%, indicating that the approach gives a very good
 767 measure for the uncertainty of the estimated parame-
 768 ters. When using the uniform prior models for the pa-
 769 rameters, CI% are generally poorer. Especially, CI%
 770 of LAI_{eff} is only 59.20%, which indicates a large under-
 771 estimation of the estimate uncertainty.

772 3.4. General discussion

773 In this study, cubic monotonic Hermite splines were 806
 774 used to enforce smoothness on the spectral variables ω_L 807
 775 and ρ_g and to implement dimension reduction. This rep- 808
 776 resentation has the strength that informative priors for 809
 777 the spectral variables can be constructed in a straight- 810
 778 forward way, if expected value and variance of those 811

variables is known at the node points. This is a clear
 advantage compared to some other possible low dimen-
 sional representations such as those based on principal
 components.

Overall, our results (Tables 2 and 3) support the use
 of informative prior models of the parameters ω_L and
 ρ_g in the Bayesian inference based on the reflectance
 model. The results show clearly the smallest estima-
 tion errors for LAI_{eff} when the informative prior models
 are used. Moreover, Bayesian approach with the infor-
 mative prior models provides at least somewhat feasible
 means for quantifying the estimate uncertainties, yet the
 uncertainty of the LAI_{eff} was slightly underestimated
 in this numerical study. The informative prior formu-
 lation could be possibly further improved by including
 additional auxiliary information, for example seasonal-
 ity, forest inventory data and spatial correlation.

796 4. Conclusions

802 Estimation of canopy LAI from hyperspectral im-
 803 agery can be done via inversion of a forest reflectance
 804 model. Forest reflectance models, however, contain
 805 many other unknown, confounding variables in addition
 806 to LAI. In this paper, we investigated the effects of the
 807 model uncertainties on LAI estimates. Moreover, we
 808 studied whether the LAI estimates could be recovered
 809 from the errors caused by the model uncertainties by
 810 taking a Bayesian approach to forest reflectance model
 811 inversion. Moreover, we studied whether the Bayesian
 approach could be used of quantification of the estimate
 uncertainties.

The proposed approach was evaluated using realistic
 simulated data representing boreal forests. The perfor-
 mance of the Bayesian estimates was superior to the ref-

812 erence estimates in RMSE and bias. The results also 845
 813 show, that if parameters other than LAI are fixed to 846
 814 their best-guess value, the estimates based on inverting 847
 815 the reflectance model are often vastly erroneous. We 848
 816 also tested the effect of prior model formulation for the 849
 817 model unknowns; i.e., the informative prior formulation 850
 818 was compared with simple uniform prior formulation. 851
 819 With the informative priors the Bayesian estimates pro- 852
 820 duced significantly smaller estimation errors and better 853
 821 estimates for the parameter uncertainty than with uni- 854
 822 form priors. 855

823 The simulation results show that the Bayesian infer- 856
 824 ence provides a feasible framework to account for un- 857
 825 certainties in secondary reflectance model variables. In 858
 826 contrast to empirical VI regression methods, the pro- 859
 827 posed approach can utilize the full information content 860
 828 of hyperspectral data and not only (pre)selected spec- 861
 829 tral bands. Additionally, the quantified estimate uncer- 862
 830 tainty is important in uncertainty quantification of cli- 863
 831 mate models, if remotely sensed LAI is used as an input. 864
 832 In the future, the proposed approach has to be tested us- 865
 833 ing real measurements to validate these promising sim- 866
 834 ulation results. 867

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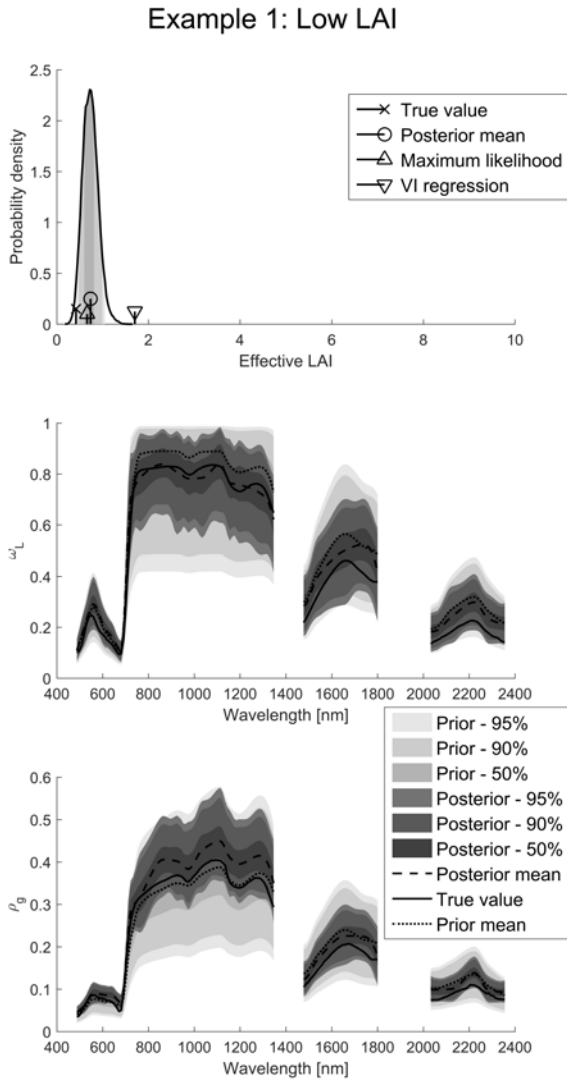


Figure 5: Posterior marginal densities of effective LAI (top), leaf albedo (center), and understory reflectance (bottom) for Example 1. The shaded areas in the top picture correspond to the 50%, 90% and 95% posterior CIs from dark to light grey, respectively.

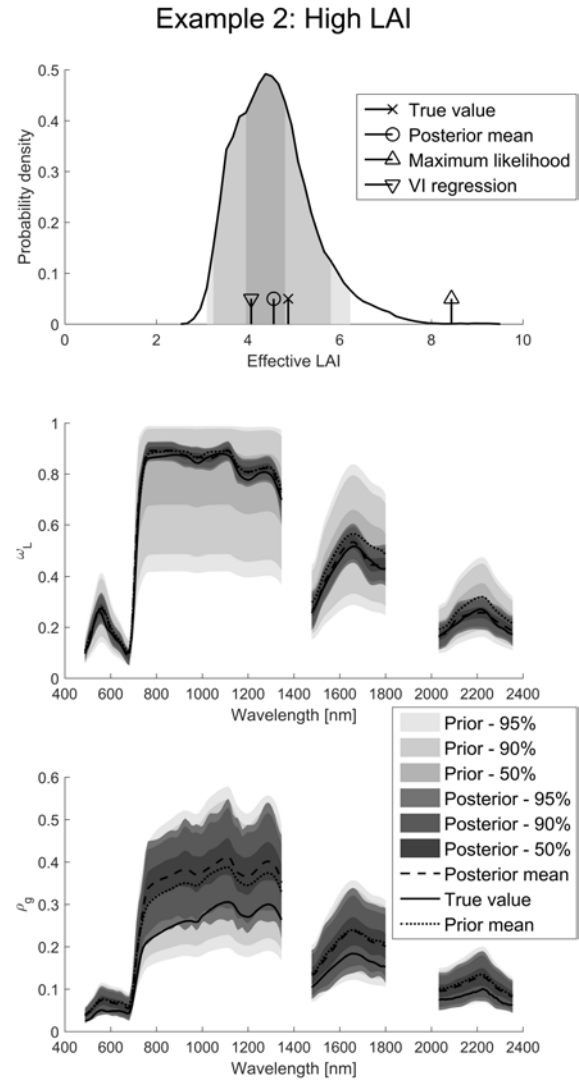


Figure 6: Posterior marginal densities of effective LAI (top), leaf albedo (center), and understory reflectance (bottom) for Example 2. The shaded areas in the top picture correspond to the 50%, 90% and 95% posterior CIs from dark to light grey, respectively.

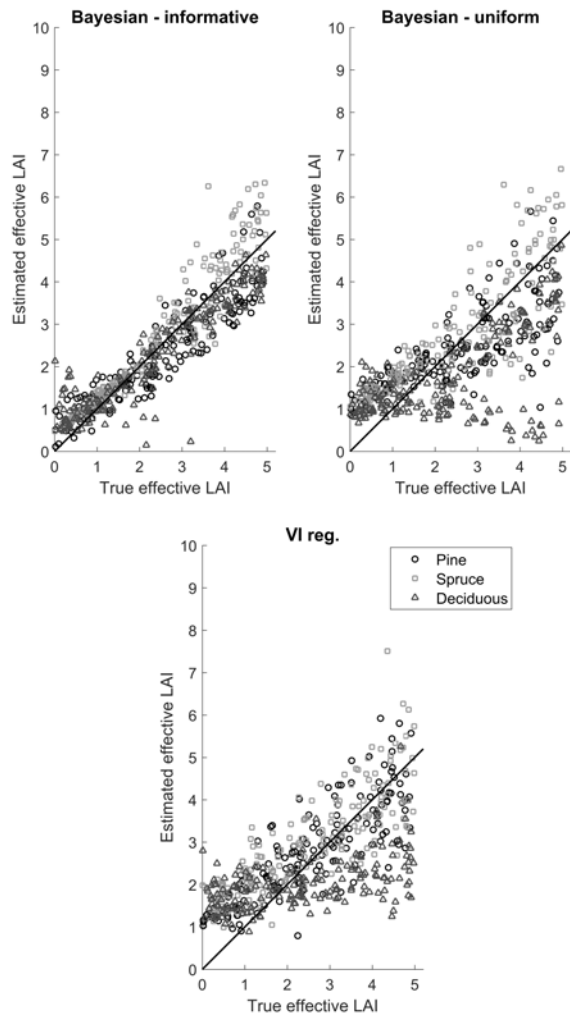


Figure 7: Estimated LAI_{eff} vs. true LAI_{eff} for the Bayesian posterior mean estimates (top left), empirical VI regression estimates (top right) and Bayesian posterior mean estimates using uniform prior (bottom), Pine dominated stands are marked with circles, spruce dominated with squares and deciduous with triangles.

Table 3: Relative RMSE and relative bias of Bayesian posterior mean estimates, and coverage percentage of 95% credible intervals, by the majority species. The spectral variables ω_L and ρ_g are divided to visible light (c. 480 – 700 nm), NIR (c. 750 – 1350 nm) and SWIR (c. 1500 – 2350 nm) components.

		Uniform prior			Informative prior		
		RMSE%	bias%	CI%	RMSE%	bias%	CI%
LAI_{eff}	pine	37.44	-5.44	63.29	23.44	-7.34	78.48
	spruce	36.02	12.24	69.51	23.51	12.44	86.59
	decid.	60.69	-27.43	46.07	26.83	-5.32	82.02
	all	45.88	-6.96	59.20	24.62	-0.0089	82.40
$\omega_L, \text{vis.}$	pine	24.14	-2.09	99.64	12.06	2.88	99.19
	spruce	27.20	1.06	99.07	12.73	7.45	98.40
	decid.	30.95	7.03	99.01	11.25	-3.89	89.48
	all	28.29	2.23	99.23	12.17	1.65	95.48
ω_L, NIR	pine	14.31	-7.83	86.23	9.57	-4.17	90.60
	spruce	11.80	-5.25	94.84	4.42	-1.26	99.32
	decid.	17.92	-11.25	85.59	5.26	-1.61	97.16
	all	15.35	-8.34	88.83	6.70	-2.29	95.80
ω_L, SWIR	pine	21.36	-4.80	98.88	10.17	-0.15	99.82
	spruce	21.87	0.83	98.94	12.54	4.42	99.49
	decid.	23.41	-16.7	95.73	12.32	-8.35	88.16
	all	23.37	-9.11	97.78	12.39	-2.99	95.56
$\rho_g, \text{vis.}$	pine	48.94	30.57	99.37	30.98	-10.71	88.16
	spruce	66.06	54.57	99.91	13.93	2.59	72.00
	decid.	112.76	88.64	99.92	28.87	24.62	97.65
	all	72.45	53.93	99.74	26.59	2.97	93.77
ρ_g, NIR	pine	52.49	42.56	85.64	25.32	21.34	88.65
	spruce	34.58	22.13	93.69	10.03	3.28	98.88
	decid.	40.47	24.90	71.00	16.31	-6.31	92.76
	all	42.13	28.66	83.06	17.51	4.02	93.47
ρ_g, SWIR	pine	42.60	25.51	96.77	20.06	5.49	94.05
	spruce	46.03	32.02	98.68	17.01	13.74	99.52
	decid.	60.65	51.77	86.22	20.43	16.56	91.18
	all	51.08	36.94	93.64	19.41	11.85	94.82
β	pine	15.62	-0.16	89.24	13.06	-3.59	98.73
	spruce	20.68	7.34	87.80	22.43	12.96	91.46
	decid.	18.86	-12.36	84.27	6.35	1.74	97.19
	all	18.87	-3.63	87.00	13.24	3.20	95.80